Exhibit 51

1	IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW JERSEY	
2	CAMDEN VICINAGE	
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	IN RE: VALSARTAN, LOSARTAN, MDL No. 2875	
5	AND IRBESARTAN PRODUCTS	
	LIABILITY LITIGATION Civil No.	
6	19-2875	

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	THIS DOCUMENT APPLIES TO ALL HON ROBERT B.	
8	CASES KUGLER	
9	* * * * * * * * * * * * * * * * * * * *	
10	- CONFIDENTIAL INFORMATION -	
	SUBJECT TO PROTECTIVE ORDER	
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13	Continued Remote Videotaped via	
14	Zoom Deposition of MIN LI, Ph.D., commencing at	
15	7:08 a.m. China Standard Time, on the 22nd of	
16	April, 2021, before Maureen O'Connor Pollard,	
17	Registered Diplomate Reporter, Realtime	
18	Systems Administrator, Certified Shorthand	
19	Reporter.	
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5 6	Direction to Witness Not to Answer
⁷ EXHIBITS	PAGE LINE None.
8 NO. DESCRIPTION PAGE	5 None.
⁹ ZHP-210 Previously marked.	6
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15 NEW EXHIBITS	None.
16 THP 215 7/22/10 THP 4	13
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¹⁸ ZHP-316 E-mail chain, Bates	Questions Marked Highly Confidential PAGE LINE
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¹⁹ 291 537 ²⁰ ZHP-317 Safety Data Sheet, Bates	17
²⁰ ZHP-317 Safety Data Sheet, Bates CHARLESWANG000310 through	18
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²² ZHP-318 6/22/18 e-mail, Bates	20 21
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MIN LI, Ph.D.,

having been previously duly remotely sworn, was examined and testified further as

follows:

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MR. SLATER: Cheryll, I think we can put up Exhibit 315, the next exhibit, and I think there's an English translation you can put in the box for counsel.

(Whereupon, Exhibit Numbers ZHP-315 and ZHP-315-t were marked for identification.)

MR. SLATER: Is it possible to make that a little smaller? Let's get that so we can see it.

FURTHER EXAMINATION BY MR. SLATER:

Q. In front of us we have Exhibit 315, which is a July 22, 2018, e-mail.

22 And if we could, let's look, first of all, just at who it's written from and to. Can you just let us know what that Page 516

to the e-mail, by somebody who also worked at SynCores, correct?

- A. Yes, looks like, yeah.
- And tell us, let's start with the introduction part, what that says.
- He said, "Dr. Huang, updated the data as shown below." It said, starting from the number 6, like big points, or he said that, you know, you can look from the number 6, which is the major, you know, points, like they have like, you know, one, two, three, you know, like a big points, right. So number 6.
- Q. Before you read 6, I just wanted to establish, so -- rephrase. So this e-mail was written to

17 Mr. Huang, and it says that an updated table is shown, and he's starting from heading number 6, it looks like, right?

- A. Yes, exactly, yep.
- 21 Q. Let's look at -- first, it says in the first one, I see a 111.4 ppm. What is that referring to?
 - A. Just basic, you know, you know,

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¹ what is written here, right. So first, you

know, three characters is basically the Chinese name for valsartan. We call it

(Chinese pronunciation valsartan), right?

So there's a parentheses, 111.4 ppm, and the next thing he said, you know,

genotoxic, probably, you know, means NDMA. 8 Okay. 9 So my guess is, okay, just

based upon, you know, you know, what I can look at here, he probably referred to a particular valsartan batch which has NDMA, 13 you know, in terms of its contents, like an 14 111.4 ppm.

Q. I wanted to see if we could go through and just understand how we calculate something. And maybe you can help me. I want to try to convert ppm to nanograms.

So if we have 111.4 ppm, my understanding is that we would then need to know the milligrams of the pill as part of the calculation, is that correct?

23 A. Yeah, you can certainly calculate based upon 111.4, yeah.

says, please, in terms of who it's written

from and to?

I don't know this person A. X.W. Guo. But I certainly know they're recipients, Chinese name Huang Luning, he is the vice president at SynCores.

I missed who you said. Who was the person who received it?

- A. Huang Luning.
- And he's the head of SynCores? Q.
- No, he is the vice president of A. SynCores.
- Q. The vice president of SynCores. So this e-mail was sent from the vice president of Shanghai SynCores --
- No, I'm sorry. I don't know who the sender is, okay. That's, you know, you know, you know, like Guo, I don't know who this person. But the recipient I know. I personally know him, yes.
- So the recipient is the vice president of SynCores.
 - A. Yes.
 - Q. And it was written, according

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So it was a 320-milligram valsartan pill and it was 111.4 ppm, would we just multiply 111.4 times 320 to find the nanograms?

This would be in the unit also of the milligram, right? So, yeah, so 320 times 111.4, you will get -- you have to divide it by 1 million, right, divide it by 1 million, and then the number you got should be in the unit of milligram.

Well, it's my understanding there's 1 million nanograms in a milligram, is that correct?

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- Well, ppm basically means 1 particle per million. It doesn't matter, like, yeah, what the -- you know, you know, it's a ratio.
- O. So if it's -- rephrase. If it's measured in parts per million --
- 21 A. Right. So, yeah, basically if you wanted to know, you know, equivalent to 320 milligram a tablet, right, made from ²⁴ dispatch, so I think the calculation would be

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Look, it's not a math test. If you want to ask about facts and stuff, but asking him how to calculate stuff ---

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Page 521

You can answer, Dr. Li. You should answer, to the extent you know and can.

BY MR. SLATER:

Q. Dr. Li, just because this way we'll have a basis when we ask questions later and we'll know our vocabulary, if I multiply 111.4 ppm times 320 milligram, assuming it was a 320-milligram pill, I come up with 35,648 nanograms.

That would be a correct calculation, correct?

- I'm sorry, what is the number again that you calculated?
 - O. Sure.

111.4 parts per million times 320 milligrams comes to 35,648 nanograms.

MR. GALLAGHER: Again, I'm going to object to this as calling for

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320 times 111.4 divided by 1 million.

And then you've got, you know, ³ like, whatever the XYZ number, right, but the unit will be milligram, because you're starting from 320 milligram.

- Well, I'm trying to calculate Q. in nanograms.
- A. Nanograms, then you will be -let's see. You will be nanograms, so milligram, micro- -- yeah, so there you will be -- let me see. You will be -- I think then you will be times 1 million again, 13 right? So --14
 - So it would just be --Q.
 - Yeah. Yeah. So A. basically they just cancel out, then, right.
 - So to find out how many nanograms this would be if it was a 320-milligram pill, we would multiply 111.4 times 320 to find out how many nanograms, correct?

MR. GALLAGHER: I'm going to object to this line of questioning because it's calling for expert

expert testimony. It's not a math test.

MR. SLATER: I think Dr. Li has a grasp on the calculation, so I'm not really sure what the objection is.

And this falls -- it helps us to answer some of the questions and the topics as we go forward.

A. It looks like so, yeah, mm-hmm.

BY MR. SLATER:

Q. Okay. So just to have a clean question and answer, the reference -rephrase.

The reference to 111.4 ppm, if we were to assume that was in a 320-milligram valsartan pill, we would multiply 111.4 times 320 to find out how many nanograms, and here that would be 35,648, correct?

MR. GALLAGHER: Same objection.

Yeah, looks like so, mm-hmm. MR. SLATER: Okay. Let's scroll down now, Cheryll, to the next part. Perfect.

²⁴ BY MR. SLATER:

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Page 522

Looking now at Section 7, let's

walk through that. It's my understanding it

refers to a DMF blank experiment.

What does that mean, "a DMF

blank experiment"?

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A. I don't know. I mean, this is

SynCores experiment. So let me read through.

I will try to see whether I can have some

understanding. DMF...

(Witness reviewing document.)

11 Okay. So I think, yeah, it

12 looks like they just treated the DMF with

sodium nitrite, and also like in the presence

¹⁴ either acid or base, it looks like. Yeah,

15 that's what -- you know, they're trying to

¹⁶ understand how NDMA would be formed from, you

¹⁷ know, DMF. Yeah, basically it's sort of like

a part of a mechanistic study, yeah.

19 If I understand correctly --20 rephrase.

If I understand correctly,

²² SynCores was studying the origin of how the

23 NDMA was formed as part of a root cause

investigation, correct?

Page 523

Looks like, yes.

MR. GALLAGHER: Objection.

3 Lacks foundation.

BY MR. SLATER:

Q. If I understand this correctly,

they indicated that as long as sodium nitrite is added, genotoxic impurities will be

generated, is that correct?

9 The statement says so. And I think, you know, the genotoxic impurity here looks like, you know, from the context

specific, was specifically referring to NDMA. 13

And I think they then said --Q. rephrase.

And it then refers to generating this NDMA is extremely obvious in an acidic environment, is that correct?

A. Let me -- let me double-check.

Yes, looks like so. Acidic, yes, it's quite obvious, yes, was a ppm.

21 They refer to the fact that this is caused by the additional reaction of dimethylamine. That is a degradant of DMF, correct?

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Yeah, the next sentence it said it should be DMF decomposition becoming

dimethylamine and then reacted with sodium

nitrite under condition, yes.

And I think they conclude that the purpose of the experiment had been achieved, and that they can't explain that

NDMA is only derived from dimethylamine in

DMF because you need the sodium nitrite as stated above to create the NDMA.

> Do I understand that correctly? MR. GALLAGHER: Objection.

Vague, and lacks foundation.

You can answer, Dr. Li.

Okay. I think -- I think the conclusion says NDMA formation, it will not only originate from the methylamine that's

originally present in DMF. So based -- based

upon, you know, what it says, right, from

everything written here, it -- so my

understanding is like under the acidic

condition DMF, you know, would form -- I'm

sorry, DMF would decompose to give

dimethylamine.

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So at least, you know, from

this experiment it seems like, you know,

there -- NDMA would from, you know, two

sources, right, or from two parts of the

dimethylamine.

So one part of the

dimethylamine would be originally present,

and the other part of the dimethylamine would

be, you know, decomposition product under the

acidic condition, you know, or under that

particular experimental condition they was 12

performing.

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13 BY MR. SLATER: 14

And I think they had said --Q. rephrase.

They had stated above that the NDMA will be created as long as sodium nitrite is added.

Do I understand that correctly?

20 The first, the very -- after the column, right, yeah, the first sentence says, yeah, like as long as you adding sodium nitrite, yeah, it will generate NDMA.

And then the next sentence

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says, under acidic condition it will be much
 more obvious, yeah, like a breakdown with

3 ppm.4

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And then the next one says it should be DMF, you know, decomposition.

So for everything here, it

looks like, you know, the majority of the NDMA, all the dimethylamine, you know, to be

converted into, you know, NDMA would be from

⁰ the decomposition of DMF.

Q. Combined with the sodium nitrite?

A. Combined with sodium nitrite, yeah, under acidic conditions.

Q. And you talked yesterday about the concept of connecting the dots. This would be an example of people connecting the dots. Is that fair?

A. It is fair, but this is after --

MR. GALLAGHER: Objection.

A. Sorry. But this is, you know,
after, you know, this event came out and they
tried to understand, yeah, exactly what would

know, previously, you know, the whole

Page 528

Page 529

² industry as well as, you know, the regulator,

³ you know, all, you know, had this knowledge

gap, obviously including ZHP.
 MP, SI ATER: Let's to

MR. SLATER: Let's take that document down and go to Exhibit 212, please. If we could, Cheryll, let's go to page -- the Bates number 1308 in the bottom right, the last three digits.

Actually let's stop for a second. Don't go anywhere. I'll just identify the document first.

Q. We have on the screen Exhibit 212, which is a report, and the topic title is "Investigation regarding an unknown impurity," and then in parentheses "Genotoxic Impurity" with regard to valsartan.

Do you see that?

A. Mm-hmm.

Q. Okay.

MR. SLATER: Let's go now to page -- the page that has the 308 as the last three digits, please.

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¹ happen.

BY MR. SLATER:

Q. And as part of the analysis
 that ZHP performed in looking back as to why
 this happened, it was understood that this

was not recognized when the process was being

7 created to use zinc chloride due to

insufficient study and insufficient research,

correct?

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MR. GALLAGHER: Objection.

Vague, calls for speculation.

BY MR. SLATER:

Q. I'll ask it again. Let me ask a new question, because counsel said that I asked a question that was vague and called for speculation so I want to try to fix it.

for speculation so I want to try to fix it.

ZHP in 2018, in looking back,

concluded that the reason this was not

figured out and these dots were not connected

back in 2011 was because of insufficient

research and insufficient study, correct?

MR. GALLAGHER: Objection.

Vague.

A. As I already answered, you

A. One thing, you know, but just

by looking at this document it looks like

this is only a draft. We have -- I think have a final, finalized, you know, signed

by document. So I don't know, should we look at

the final document?

Q. No, we'll look at this document.

MR. SLATER: Scroll down a little further, Cheryll, so we get the bottom half of the page, please. Perfect.

Q. Section 5.2 is titled "Control strategy."

Do you see that?

A. Mm-hmm.

Q. Under the heading of 5.2,

"Control strategy," it says, "Due toinsufficient extent and depth of process

²⁰ research at the early stage, as well as

insufficient study and understanding of

potential genotoxic impurities, only side

²³ reaction product and degradation products

were studied, and was unaware of the further

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Page 530

reaction between degradation products and raw
 material."

Do you see what I just read?

A. Mm-hmm.

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Q. So certainly as of the time
this document was drafted, the conclusion at
that time was that there was insufficient
extent and depth of process research as well
as insufficient study and understanding of
potential genotoxic impurities. That's what
the document states, correct?

MR. GALLAGHER: Object to the questions on this document to the extent the witness said this is not a final version and asked to see the final version.

But, Dr. Li, you should answer, if you can answer.

A. I mean, yeah, based upon this
version, you know, this is what it says. But
as I said, you know, this statement is still
consistent, you know, with the fact, you
know, that I already said that, you know, the
whole industry as well as, you know, ZHP and

 $^{1}\,\,$ know, whether he set up -- you know, I don't

Page 532

Page 533

² know. I had no details about this.

Q. You said he was a long-time
 friend. Who was he a long-time friend of?

A. Myself.

Q. How did you know him?

A. We know him because, you know,

⁸ he and I, we both have been the member of a

⁹ professional, you know, association, it's

o called Sino-American Pharmaceutical

Association, and he and I, you know, were

both, you know, like a member, like long-time

members. This is a nonprofit, you know,

⁴ professional organizations started in New

¹⁵ Jersey, I think around time of 1993.

Q. Did you ever work together?

A. No, we never worked together.

Q. And by the way, I think I

¹⁹ forgot to ask you the other day, there's

someone at ZHP named Eric, and if I don't

²¹ pronounce his name correctly, let me -- Eric

²² Tsai, T-S-A-I?

A. Eric Tsai, yeah.

Q. Did you work with him in the

Page 531

also the regulators, you know, everybody had the same knowledge gap at the time.

MR. SLATER: Take that document down, please.

BY MR. SLATER:

Q. Who is Charles Wang?

A. Charles Wang, who is a

toxicologist, you know, who is the consultant

of Huahai or ZHP.
 Was he so

Q. Was he somebody that was an independent consultant, had his own company?

A. Sort of, yeah.

Q. What do you mean "sort of"?

A. He was doing, you know, that -you know, he is a long-time friend, and so,
you know, you know, he is a trained
toxicologist, so when we had a -- you know,
you know, this issue, you know, when this
issue came out we came to him, you know, for
help.

Q. I asked you if he had an independent consulting company. Did he have an independent consulting company?

A. That I don't know. I mean, you

past before you came to ZHP?

A. We actually worked in the same company, but we were not in the same department. Yeah.

Q. What company did you work at with Eric Tsai?

A. Merck & Company. When I first joined Merck & Company in 1998, Eric Tsai, he was already there. Yeah. And I left Merck the first time in 2005, as far as I remember, he still was, you know, with Merck. Yeah.

Q. Was it just a coincidence that the two of you ended up at ZHP, or was there some connection there?

A. Perfectly coincidental.

Q. Coming back to Charles Wang, you said he was a long-time friend. When did you meet him?

MR. GALLAGHER: Adam, are we moving on from the 30(b)(6) to individual?

MR. SLATER: No, we're not.
MR. GALLAGHER: I'm going to object to all this as outside the

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Page 534
                                                                                         Page 536
1
       scope of the 30(b)(6).
                                                       considered to be a long-time friend.
2
                                                 2
                                                       I really don't know. I cannot speak
           MR. SLATER: I'm confident that
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                                                 3
       it's within the scope. I'm confident
                                                       for him, okay.
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                                                    BY MR. SLATER:
       that I'm allowed to ask who he was,
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       because you know who he is, so I don't
                                                            Did he know anyone else at ZHP,
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       think you should have an issue with me
                                                    to your knowledge?
7
       asking the questions.
                                                       A.
                                                             Oh, yes.
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           MR. GALLAGHER: I don't have a
                                                            MR. GALLAGHER: Objection.
9
                                                 9
       problem with you asking the questions.
                                                       Outside the scope.
                                                10
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                                                           THE WITNESS: Sorry.
       It's just that it's outside the scope
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                                                    BY MR. SLATER:
       of the 30(b)(6).
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                                                12
           MR. SLATER: We disagree.
                                                       Q. Who?
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                                                13
           So I'm going to continue now,
                                                            MR. GALLAGHER: Objection.
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                                                14
       okay?
                                                       Outside the scope.
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                                                15
           MR. GALLAGHER: Absolutely.
                                                    BY MR. SLATER:
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                                                16
           It's outside the scope of the
                                                       Q. Who else did he know at ZHP, to
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                                                17
       30(b)(6), but Dr. Li should answer to
                                                    your knowledge?
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                                                18
       the extent he knows personally.
                                                           MR. GALLAGHER: Objection.
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                                                19
   BY MR. SLATER:
                                                       Outside the scope.
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                                                20
                                                       A. I mean, based upon my personal
       Q.
            So I'll ask the question again,
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                                                21
                                                    knowledge, you know, he knows Mr. Jun Du.
   Doctor.
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       A.
            It's such a long time, you
                                                    BY MR. SLATER:
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                                                23
                                                            Do you have any idea how they
   know.
                                                       O.
24
                                                    knew each other?
       Q.
            Let me ask the question again.
                                                                                         Page 537
                                        Page 535
                                                           MR. GALLAGHER: Objection.
  I don't mean to interrupt you, but let me
                                                 2
   just ask the question.
                                                       Outside the scope.
                                                 3
3
            Okay. Sure.
                                                            As far as I understand, they
      A.
                                                       A.
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            How long -- well, rephrase.
                                                    probably -- okay, that's just my guess. They
      Q.
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                                                    probably, you know, essentially, you know,
           When did you meet Charles Wang?
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           MR. GALLAGHER: Objection.
                                                    you know, came to know each other.
7
                                                           Also through the same
      Outside the scope.
8
           You know, there's such a long
                                                    organization, you know, because, you know,
   time, so I don't remember exactly which year.
                                                    this organization, you know, that I just
                                                    mentioned, it's scientific, you know, you
   I would say somewhere, you know, like --
   probably I would say in late, you know, '90s,
                                                    know, oriented. And so every year, you know,
   or maybe early 2000. You know, like late
                                                    this organization will be, you know, you
   1990s, somewhere around that period.
                                                    know, holding like conferences, scientific
14
                                                    conferences, you know, you know, career, like
   BY MR. SLATER:
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                                                    a workshop, you know, things like that.
            When you said he was a
                                                16
   long-time friend, I might have misheard. I
                                                           And Huahai US, you know, at
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                                                17
   thought maybe that you said "of us," or in
                                                    least for a period of time, you know, was
   plural. So was it with somebody else at ZHP?
                                                    the -- you know, the sponsor of some of the
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                                                19
           MR. GALLAGHER: Objection.
                                                    meeting events.
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                                                20
      Outside the scope.
                                                           MR. SLATER: Let's put up our
                                                21
21
           You can answer.
                                                       next exhibit. We'll call it Number
22
                                                22
           THE WITNESS: Yeah, sorry.
                                                       316. It's CHARLESWANG000289.
23
                                                23
           I don't know, you know, who
                                                           (Whereupon, Exhibit Number
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                                                24
      else, you know, you know, he himself
                                                       ZHP-316 was marked for
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Page 538 Page 540 1 identification.) This is the next day, June 6th, 2 correct? MR. SLATER: Let's go to the 3 beginning of the e-mail chain on the A. Yes. 4 O. You say in this e-mail, "Please second page, please. 5 see the report." I assume you had spoken I want to get the e-mail --6 with Charles Wang before you sent this yeah. Can you, Cheryll, possibly 7 e-mail. scroll up so we can get the -- no, the 8 8 other way. There you go. Stop. Do you recall? 9 9 Perfect. I do not recall. 10 10 Could I just see, you know, you BY MR. SLATER: know, that particular content that you just, Q. Looking now at the second page 12 of this e-mail chain where the Bates number 12 you know, reading twice already? 13 is CHARLESWANG000290. Q. I'm reading literally what's 14 14 right in front of you, sir. Do you see that? 15 15 Oh, "Please see the report." 000290. Where is the --A. A. 16 Yeah. Yeah. It's probably behind the Ο. 17 17 pictures of people. Q. It looks like you had written 18 Oh, let me see. Sorry. Okay. to Charles Wang from Yahoo Mail on Android. Α. 19 Oh, yeah, mm-hmm. Do you see that? 20 20 Yes, mm-hmm. Looking at this e-mail chain, starting with the first e-mail in the chain, 21 21 Q. What's an Android? 22 which starts at the bottom of the second What's Android? That was my, page, it's an e-mail from yourself, Min Li, you know, US, you know, cell phone, you know, to Charles Wang, June 6, 2018. you know, at that time, it looks like. Page 539 Page 541 And you say you're Do you know who the forwarding -- or subject says "Forward: WHO manufacturer is of the Android? Report," and you say, "Please see the I don't remember. It could report." be -- let's see. It could be a Samsung, you 5 Can I see that? I'm sorry. know, smartphone, but I really don't remember Α. Can I see that word? exactly. 7 I'm just asking, do you see Was that phone turned in to be 8 what I just read, the June 6, 2018 date? checked to produce documents to us as part of 9 Yeah, I see the date, but I the production obligations in this 10 10 don't see any content. litigation? 11 11 That's the e-mail that we were That phone has been dead, I A. provided, so I'll ask the next question. think. Yeah, that phone -- so I think I, you 13 13 June 6, 2018 is the day after know, changed to my current phone. That ¹⁴ Novartis confirmed to your company that they phone has been -- yeah. 15 ¹⁵ had identified the NDMA in the valsartan they O. That phone is dead? 16 were testing through the outside lab, A. Yeah. 17 17 Solvias. Q. Is it buried? Is it buried, or 18 is it still available? We went through that yesterday, 19 19 right? No, it's no longer available. 20 20 That was -- as I said, we It has been disposed, you know, because it's received the e-mail, you know, you know, they no longer usable, totally unusable. 22 indicated they suspect, you know, that that We know it was working on 23 was the NDMA. June 6, because we see the e-mail that you 24 sent from your Android, right? And this is the -- rephrase.

Page 542 Page 544 1 A. Yes. Oh, let me see, it's probably 2 from the setting, right, looking about the Q. June 6, 2018 it was working, 3 right? phone. Okay. It's model number SM-N950U. 4 Q. Is it an Android, is it a Oh, yeah, uh-huh. 5 When did it stop working? model -- is that the model? Q. 6 Yeah, it should be Android A. When did it stop working. Sometime after -- I don't -- see, I don't operating, yeah. Everybody else, yeah, I think other than -- other than the, like, remember exactly. It -- let me see. I just don't remember, you know, you know, all of Apple, right. 10 10 Q. When you bought this new phone, these details. 11 did you get some sort of a warranty or some Q. Did that phone die in 2018 or 12 2019? sort of protection plan where if something 13 happened to it you could use that to help fix I just --14 14 it? MR. GALLAGHER: Objection. 15 15 THE WITNESS: Sorry, go ahead. A. No. 16 16 MR. GALLAGHER: Objection. MR. GALLAGHER: I'm going to 17 17 Asked and answered. object to this line as outside the 18 18 You can answer, Dr. Li. scope as well. 19 19 Yeah. I just don't remember But please go ahead. 20 20 right now. I mean... Yeah, I think we usually don't 21 21 BY MR. SLATER: buy those protection plans. 22 Did you pay for that phone, or BY MR. SLATER: 23 23 did your company pay for it? Q. What would you need to do to 24 I paid for that phone myself. tell me the day that you got the new phone so Page 543 Page 545 When you replaced that phone, we'd know when you replaced the old phone? did you do that yourself, or did the company MR. GALLAGHER: Objection. have any part of you replacing the phone? 3 Asked and answered. Everything, you know, I did I really need to, you know, dig myself, like I paid for myself. into some of the detail if I, you know, if I, The phone that you replaced you know, you know, have to tell you exactly this Android with is what, what type of the -- I need to -- I need to do some phone? research. 9 A. It should be, you know, this BY MR. SLATER: 10 10 new Samsung phone that I'm using right now. Sometimes the Samsung phones 11 Do you know what type of phone have the name on the back of the phone. Can 12 it is? you look at the back of your phone and see 13 13 What type of this phone. At what type you have now? 14 the time that I bought it should be kind of The name of the phone. On the back cover of my phone? Let me see. I need like a top of the line, you know, you know, 16 Samsung phone, but I don't remember exactly, to remove the protection. Hold. Galaxy 17 you know, the model. 17 Note 8, yeah. 18 18 Is it in the room with you Q. Q. You said Galaxy Note 8? 19 19 right now? Yes. A. 20 20 Yeah, it is in this room, yeah. Was your Galaxy Note 8 phone A. 21 I can take a look if you want. provided to your company for documents and 22 22 information to be provided to us as part of Can you take a look right now

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this litigation?

and tell me what kind of phone it is?

Sure.

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No. Because, you know, once I

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Page 546

got this Galaxy phone, you know, it's -- I don't think it's been used with this communication.

Where did you get the phone? Q. Did you buy it at a store?

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Yeah, I buy it in the store, Α. yes.

> Q. What store did you buy it at? MR. GALLAGHER: I'm going to object to this entire line as outside the scope and, you know, bearing into --

MR. SLATER: Well, I'll ask you a question, Counsel, maybe it will move things along.

Can you represent right now that Dr. Li's phones were both properly collected and reviewed to make productions of documents and information to us pursuant to the discovery obligations in this litigation?

MR. GALLAGHER: I can represent that we collected from all the devices

objecting outside the cope and veering into wildly irrelevant.

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Page 549

BY MR. SLATER:

- 4 Q. While we're on it, I had asked you on the first night of the deposition when you were first told about the deposition, and you said it was by an e-mail about six months ago, and I asked if you would check that date. Did you do so?
 - A. I'm sorry, check what?
 - The date of the e-mail that you received the first time you were told you were going to be deposed in this litigation.

MR. GALLAGHER: Object as calling for speculation, and to the extent it calls for attorney/client privileged information.

And to the extent you know and can answer without disclosing information regarding communications with your attorneys, you can. But to the extent it would disclose information communicated from attorneys for ZHP, I instruct you not

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to answer.

A. So -- so I -- you know, well, first of all, I haven't had a chance, you

know, you know, you know, to do that. But I guess after, you know, after this deposition, you know, I can take some effort, you know,

to look it up. But, you know, these past few days, you know, I have been very exhausted, and I also need to, you know, read through some of the, you know, documentation, you

know, right, to -- for continuous prepare, you know, for this deposition, so I really

haven't done, you know, this information

15 search.

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BY MR. SLATER:

You told me on -- rephrase. You told me that it would have been an e-mail from Maggie Kong, so you could just search your e-mails for her name and see --

No, I said that --MR. GALLAGHER: Let me -- slow down. Sorry.

that we needed to collect from to get the documents to meet our discovery obligations.

MR. SLATER: Does that include the phone he was using on -- rephrase.

Does that include the phone that was being used on June 6, 2018?

MR. GALLAGHER: I think he told you that phone is -- no longer exists.

MR. SLATER: Well, I'm asking you because we're asking these questions so we can match up the dates and find out when the phone "died" and we're going to track that phone.

MR. GALLAGHER: If you want to -- if you want to continue, I'm just objecting as outside the scope and, you know, veering in wildly irrelevant.

If you want to, you know, submit a request in writing for us to look at something, we're happy to do that. But you're welcome to do your deposition as you'd like. I'm just

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Page 550
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           Again, I'm going to object to
                                                       you know, toxicological perspective.
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       the extent you're asking him to
                                                                And also, as I said, because he
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       speculate, and to the extent it calls
                                                       is a long-time friend of mine, and I know he
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       for attorney/client privileged
                                                       is a toxicologist, so that's how, you know, I
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       information.
                                                       naturally, you know, thought of him and
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           Adam, if you want to send us a
                                                       turned to him for help.
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       request we can -- in writing, we can
                                                       BY MR. SLATER:
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       go back and look, and to the extent
                                                                 Did you see him as an expert in
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       that it doesn't involve
                                                       toxicology?
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                                                    10
       attorney/client information we can
                                                           A.
                                                                 Oh, yeah, yeah.
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                                                    11
       share that.
                                                                 Did you consider him somebody
                                                           O.
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       A. I think, yeah, I think, I think
                                                    12
                                                       who would provide you reliable information?
                                                    13
   my counsel will provide an accurate, you
                                                           A.
                                                    14
   know, dates, yeah.
                                                           Q.
                                                                 Was he somebody that you
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   BY MR. SLATER:
                                                       trusted?
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                                                    16
       Q. We also talked the other day
                                                           Α.
                                                                 Oh, yes.
                                                    17
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   about when your Lenovo ThinkPad broke and you
                                                                MR. SLATER: Let's scroll up,
                                                    18
   replaced it. Did you look into what the date
                                                           Cheryll, to the next e-mail in the
   was when that happened?
                                                    19
                                                           chain on the second page. Perfect.
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                                                    20
       A. That --
                                                                 Now, looking at the June 10,
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                                                    21
           MR. GALLAGHER: Same objection.
                                                       2018 e-mail sent at 11:49 a.m. from Charles
                                                    22
   BY MR. SLATER:
                                                       Wang to yourself, do you see that?
                                                    23
23
       O.
           Lenovo ThinkPad, I'm sorry.
                                                           Α.
                                                                 Mm-hmm.
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                                                    24
       A.
            That, as I said, that probably,
                                                           Q.
                                                                 I have another question.
                                           Page 551
                                                                                               Page 553
<sup>1</sup> let's see, I think it's -- it's probably
                                                       Rephrase.
   somewhere around May 2018.
                                                               Why is it that you and Charles
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                                                       Wang were communicating on your Yahoo
           But again, you know, you know,
<sup>4</sup> I need to go back, you know, to talk to, you
                                                       accounts rather than on business accounts?
   know, my IT people, you know, to see what
                                                                I think the main reason is, you
   exactly date, you know, they provided, you
                                                       know, he is a long-time friend of mine, and,
                                                       you know, we've been using, you know, Yahoo
   know, this current one, you know, to me.
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           MR. GALLAGHER: And, again,
                                                       e-mail or personal e-mail, you know, for
9
       Adam, same objections. And if you
                                                       quite long.
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                                                    10
       want to send us a request in writing,
                                                               So during that time, you know,
11
       we can take that under advisement.
                                                       because this events was so urgent, right, so
12
   BY MR. SLATER:
                                                       I, you know, did it, you know, just
13
                                                       naturally, you know.
            Why did you contact Charles
14
   Wang -- well, rephrase. Let me ask you this.
                                                               And because, you know, his
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Did you contact Charles Wang as a result of Novartis notifying your company of the identification of NDMA on June 5, 2018? Is that why you contacted him?

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MR. GALLAGHER: Objection. Vague, and lacks foundation.

21 The reason -- first of all, obviously, you know, because we received a notice and we, you know, need to have an expertise, you know, to evaluate from the,

Yahoo e-mail is also like sort of like stored on my, you know, Yahoo, right? So once I type, you know, like "Charles," that e-mail naturally will appear.

So, yeah, it's urgent, it's for convenient. Yeah, that's how it happened.

Q. Did you or anybody else from ZHP, to your knowledge, contact any other toxicologists or experts regarding the health or safety issues with NDMA in June 2018?

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Page 554

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A. I don't recall. I mean, for me
I only contacted the Charles Wang. But
anyone else, you know, from ZHP contact
anybody else, I just don't know.
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- Q. Was Charles Wang the only outside toxicologist your company consulted in connection with the NDMA in the valsartan?
- A. I cannot -- you know, I do not have this knowledge like somebody else did.
- 10 Q. Is there any other toxicologist other than Charles Wang that anybody at ZHP consulted with or spoke to regarding the NDMA in valsartan?

14 MR. GALLAGHER: Objection.

15 Vague.

16 A. You know, as I said, you know, 17 from my perspective, I only contact or consulted with Charles Wang.

BY MR. SLATER:

- 20 Q. As part of your preparation to testify for your company, did you ask others if they had contacted any other 23 toxicologists? 24
 - I haven't asked.

their opinion and their advice, but then say, well, I'll change it if for commercial purposes you need me to? MR. GALLAGHER: Objection. Vague.

Page 556

Page 557

A.

I think your statement is twisted, you know, the fact. Okay. At that time, okay, nobody had any idea like what limit should be set, okay.

So very naturally there would be a discussion, what would be a reasonable, you 12 know, you know, limit to set, okay.

So I think that, you know, based upon the context, you know, everything is written here, it looks like, you know, the 0.011 ppm was a number, if I remember correctly, you know, proposed by a Novartis, you know, toxicologist, okay.

19 And that person, you know, you know, derived this number from, I think, an animal study. It's not based upon rodent, okay. I think it's based upon, you know, a 23 primate, maybe monkey or something.

24 So that, you know, from that

Page 555

Looking now at the June 10, 2018 e-mail, Charles Wang wrote to you and said, "Hi Min, The attached is draft report for NDMA."

A. Mm-hmm.

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Q. "I can take out the limit of 0.011 parts per million if you are unable to achieve it."

Do you see that?

Mm-hmm. Α.

So in this report that he wrote, he put in a maximum acceptable limit of 0.011 parts per million for on a 14 going-forward basis, correct? 15

A. Yes.

Q. And he asked you if you -he -- rephrase.

And he's asking you here, do you want me to change it if ZHP can't get to that level; that's what he's asking you, correct?

A. Mm-hmm, yes.

Is that what a good scientist Q. does in providing scientific advice, provide PD50, you know, that person derived a limit of 0.011 ppm. Okay.

So later, you know, I think if you look at the European regulatory, you know, you know, document, you know, they spent, you know, quite a -- you know, you know, quite a few, like maybe one page or whatever, discussing what the value should be 9 used.

So I think the conclusion or the eventual consensus is that for those, you know, animal carcinogenic study, it would have been more reliable to use, you know, you know, data from rodent.

15 The very reason was because primate -- you know, the lifespan of primate, you know, is too long, right? You know, so you would have -- you know, quite a lot of other factors would impact, you know, how a tumor would be produced.

So I think, you know, if you ask somebody, you know, you know, who are familiar or some, you know, like a

toxicologist who are familiar, you know, with

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<sup>1</sup> these issues, you know, or everything that I,
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- ² you know, you know, you know, are talking
- about here, you know, people would agree, you
- know.
- So that's how the 0.3 ppm,
- right? It's from a rodent, you know, study.
- Okay.
- 8 So yeah, at the very beginning,
- you know, as I said, even FDA or European
- 10 regulatory agency, you know, you know, didn't
- 11 know, you know, how to set, and -- yeah, so
- 12 it's very -- you know, everything, you know,
- was progressing, and actually is still, you
- ¹⁴ know, progressing.
- 15 BY MR. SLATER:
- 16 Q. Reading along in the e-mail,
- ¹⁷ Charles Wang said, "I can take out the limit
- of 0.011 ppm if you are unable to achieve it.
- 19 See if your client accept the limit
- ²⁰ recommended based on the maximum intake of
- 21 NDMA via food or exposure of indoor air. The
- limit of 0.011 ppm is calculated based on the
- ²³ EPA recommended limit for underground water,
- which won't cause the risk to exceeding the

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- you know, you know, discussion progressing,
- so now regulatory agencies, particularly
- like, you know, FDA, right, now is setting a
- limit of 0.3, which is based upon, you know,

the rodent carcinogenic study. 6

MR. SLATER: Cheryll, if you could scroll up to the next page so we can get to the beginning of the next e-mail, which starts on the next page, please. Perfect.

Q. Later that day now on June 10, 2018 at 9:09 p.m., where the prior e-mail had been at 11:49 a.m., Charles Wang writes to you again.

Do you see that?

- Mm-hmm.
- O. He writes to you to provide you a statement in the ICH M7 guideline.

Do you see that?

- Yeah, "TTC-based Acceptable Intakes." Okay. Mm-hmm.
- And it has some threshold of toxicological concern-based acceptable intakes, and it has some information on that.

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- ¹ tumorigenesis rate of 10e-6 in lifespan of
- human being. Let me know if you any comments
- ³ or questions."
- 4 That's what he wrote to you,
- right?
- Okay. Oh, yeah. So -- yeah,
- okay. So based upon -- I'm sorry. Yeah,
- based upon, you know, you know, this whole
- e-mail, yes.
- 10 So I take it back, you know,
- 11 you know, referring the 0.011 ppm, you know.
- 12 But between Novartis and the
- ¹³ ZHP, we did have that communications, you
- know, in terms of what limit, you know, you
- 15 know, should be set.
- 16 So, as I said, the data from,
- 17 you know, you know, you know, from that
- primate, it would be lower than 0.3.
- 19 So, I mean, this 0.011 could be
- from, you know, the primate, okay, because
- they are -- you know, both are lower than the 22 0.3.
- 23 But, you know, in the end, as I
- said, you know, after, you know, all of the,

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Do you see that?

Yeah, let me read through. A.

TTC-based...

(Witness reviewing document.) THE WITNESS: So that's the first paragraph, 7.2, "Based on Compound Specific Risk Assessment."

Okay. "Mutagenic Impurities with Positive Carcinogenicity Data (Class 1 in Table 1).

Compound-specific risk assessments to derive acceptable intakes should be applied instead of the TTC-based acceptable intake where sufficient carcinogenicity data exist. For a

16 known mutagenic carcinogen, a 17 compound-specific acceptable intake

18 can be calculated" -- "and 19 linear extrapolation as a default" --

okay. Yeah.

MR. GALLAGHER: Doctor, when you read out loud, the court reporter has to take everything down that you're saying. So just when you're

Page 562 Page 564 1 reviewing the document, for ease of We see the table, and then you 2 can see underneath that it says, "NDMA should everyone, the document says what it 3 be Class 1 compound" giving its" -- "given says. 4 its well known mutagenicity nature of this THE WITNESS: Okay. I'm sorry. 5 compound. Charles." 6 Do you see that? Yeah, I finished reading, yes. 7 MR. SLATER: Counsel, I would Yes. A. 8 So he's saying that it should appreciate it if you would not O. 9 fall into Class 1 under the ICH guidelines, instruct your witness not to say 10 which is defined as a known mutagenic things out loud. 11 carcinogen, correct? MR. GALLAGHER: I'm not 12 instructing him not to say things. I 12 MR. GALLAGHER: Objection. 13 13 Vague, and calls for speculation. think he didn't realize. 14 14 A. It's a known mutagenic THE WITNESS: Well, yeah, I 15 15 carcinogenic to animal. just read through the e-mail. 16 BY MR. SLATER: MR. GALLAGHER: If you feel 17 17 it's necessary for you to read it out Q. A Class 1 impurity is defined 18 on this table as a known mutagenic loud, you should read it out loud. 19 I'm not sure if that's what you were carcinogen, and he's saying NDMA should fall 20 into that class, correct? intending to do, so... 21 21 Please proceed. MR. GALLAGHER: Objection. 22 22 THE WITNESS: Okay. Vague, calls for speculation, and to 23 23 BY MR. SLATER: the extent it calls for expert 24 24 You can see that after -testimony. Q.

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rephrase.
           You can see that in this e-mail
<sup>3</sup> Charles Wang says. "Hi Min, See statement in
<sup>4</sup> ICH M7 regarding" -- and then you see that's
  what it says, right?
6
       A.
            Mm-hmm.
            And then he has some
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information about acceptable intake levels and it depends on what class somebody is 10 placed in. 11

Do you see that?

A. Yes.

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MR. SLATER: Cheryll, if you could scroll down to the top half of the following page, we'll go to the carryover, and there's a table.

- 17 Q. And you remember, that table is in the ICH M7 guideline, correct?
 - Yes. Α.
 - Q. And we see it says Table 1 --MR. SLATER: Scroll up a millimeter more so we can get the bottom of the e-mail, please. Just a little -- that's it. Perfect.

That's what he said.

But I should emphasize, in this particular case NDMA is a known mutagenic carcinogen to animal.

BY MR. SLATER:

6 Q. Let's go up now to the beginning of the e-mail. Rephrase.

Let's go to the beginning of the whole -- rephrase.

10 Let's go to the top now, to the 11 last e-mail in the chain, please.

MR. SLATER: Thank you,

Cheryll.

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Q. Looking now at the next e-mail in the chain, June 12, 2018, Charles Wang wrote to you at 3:18, it looks like.

Do you see that?

- A. Yes.
- And he says -- rephrase.

In this e-mail Charles Wang

- says, "Hi Min, Looks like IARC does consider
- NDMA as a Class 2A agent. However, according
- to the definition of Class 2 in ICH M7(R1) guideline, the Class 2 compound should be a

Page 566 Page 568 ¹ "Known mutagens with unknown carcinogenic sheet? 2 potential (bacterial mutagenicity positive*, A. Well, based upon my no rodent carcinogenicity data)." understanding, it is a document that 4 Do you see that? typically utilized in the storage and 5 transportation of a chemical. Yes. 6 It also provides hazard and Q. And he actually highlighted the "no rodent carcinogenicity data." risk information about the chemical, correct? Do you see that? A. Right. Being one of the 9 section or two, you know, some of the A. Let's see. No rodent -- yeah, 10 highlighted, yes. sections, they talk about -- yeah, that's Q. The e-mail continues, "There why, you know, just to help people, you know, are plenty rodent carcinogenicity data for to be properly handling, you know, the NDMA (see revised report in the attached, chemical during the transportation or 14 page 4). In Fisher MSDS, NDMA has been storage. 15 classified as Class 1B for carcinogenicity Q. Looking now at the (attached)." Classification section, under 17 Do you see that? "Carcinogenicity" it says "Category 1B," 18 18 A. correct? Yes. 19 19 I'm sorry, where? He then says, "Guess you can A. 20 20 argue with your client and see if they accept MR. SLATER: You've got to 21 IARC's classification and agree to control scroll up, Cheryll, just to get that 22 box that has the categories in it. the level at threshold of toxicological 23 ²³ concern (1.5" -- is that micrograms or Perfect. That's good. Yeah. I don't 24 milligrams per day? want to go to the next page. No, I Page 567 Page 569 A. Microgram. don't want to go to the next page. And we went over yesterday, and Looking at the classification ³ I can pull it out if we need to, that the ICH category, I'm just looking to identify what ⁴ M7 guideline actually said that's Charles Wang was referring to, he said that inapplicable to those compounds that are it refers to NDMA as a Class 1B. considered to be in the cohort of concern 6 Do you see that? like nitrosamine compounds. Remember we went Mm-hmm. Yes. 8 through that yesterday? MR. GALLAGHER: Objection. 9 9 MR. GALLAGHER: Objection to MR. SLATER: Okay. We can take 10 10 the extent it mischaracterizes his that down. 11 11 testimony. But I don't know how, you know, A. 12 12 this MS --Yes, we did went through. 13 13 MR. SLATER: In fact, Cheryll, MR. SLATER: Cheryll, you can 14 14 let's pull up now as Exhibit 317 the take that down. We're going to the 15 15 material safety data sheet referred to next document. 16 16 here by Charles Wang. MR. GALLAGHER: You can 17 17 (Whereupon, Exhibit Number complete your answer. And if you need 18 18 ZHP-317 was marked for to see the document, we can --19 19 identification.) BY MR. SLATER: 20 20 BY MR. SLATER: Q. I'll put it back up. I --21 21 You know what a material safety You know, I --A. 22 22 data sheet is, correct? O. If you want to see the document 23 23 A. Yes. again, I'll go through the whole thing --24 24 O. What is a material safety data That's fine. But I just need

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¹ to point out, you know, it looks to me, you know, I don't know -- first of all, I don't

know what this Class 1B, you know, in this

particular MSDS, you know, they are referring to.

6 Okay. If they are referring to the same, you know, you know, IARC categorization, you know, then it is just not correct, because if they look at the, you know, IARC classification, you know, even as of today, you know, NDMA is classified as 2A, 12 okay.

O. We literally just went through in Exhibit 316 where Charles Wang, who you told us earlier is an expert, someone who is reliable and who you trust, told you that he disagrees with the Class 2A classification. That is what the e-mail said.

19 Is that a correct statement 20 that I just made? Yes or no.

A. He --MR. GALLAGHER: Objection.

23 Calls for speculation, to the extent 24 it mischaracterizes the document and

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on his Yahoo account, but you're on your work account. Do you know why that is?

You know, again, I don't remember exactly, you know, you know, you know, you know, that I talked to him or whatever. So I would say a reasonable explanation is, you know, as I explained, you know, right, you know, in the -- during the very early phase, you know, it was quite urgent so I just sent him through my personal e-mail, right.

And but then, you know, a few days or, you know, after this event, you know, I probably communicated or talked with him, you know, over the phone or whatever, you know, just I -- I just told him, you know, probably, you know, he should utilize my company e-mail.

19 Q. Looking now at this e-mail, Exhibit 318, Charles Wang writes to you, and in the first paragraph he's providing you some information about some -- a paper and some sort of a reply to a paper.

Do you see that?

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the testimony.

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2 You know, you know, from the

³ e-mail he just referring to this MSDS, okay.

⁴ He didn't, you know, you know, you know, go

⁵ further commenting, you know, on how this

⁶ Class 1B, you know, was assigned or whether ⁷ it's -- it may be misleading or this may be

incorrect. You know, this is just a -- you

know, based upon everything, you know, that I 10 know.

MR. SLATER: Okay. Cheryll, I'm going to skip ahead two exhibits in our list to CHARLESWANG000430, please, and we'll make that Exhibit 318. (Whereupon, Exhibit Number

ZHP-318 was marked for

identification.)

19 BY MR. SLATER:

20 Q. On the screen is Exhibit 318, a June 22, 2018 e-mail from Charles Wang to 22 yourself, correct?

23 A. Yes.

> O. Now, in this e-mail he's still

Α. I'm sorry, what?

Rephrase. Withdraw it. Q. MR. SLATER: Could you scroll up, please, Cheryll, a little more so we can capture the -- that's it. Perfect.

Looking now at the June 22, 2018 e-mail, which we've marked as Exhibit 318, to you, and I want to look, if we could, at the second paragraph of that e-mail, okay?

> A. Mm-hmm.

Charles Wang says, "Hi Min," and then in the second paragraph says, "I suggest Huahai to hire a carcinogenicity expert consultant to perform the analysis, who knows risk assessment of carcinogen and kept updated in regulatory guideline and standards in this field. If needed, I can recommend a couple to you for consideration.

"Best, Charles."

Do you see that?

Yes. A.

O. So he's basically telling you,

Page 574 Page 576 ¹ I think we need to get somebody in who is a your summer. real high-level expert in this field to help "Best regards, Charles." 3 us out with this project, and he's willing to Do you see that? reach out to people he knows to try to get Mm-hmm. A. you such an expert, correct? And are you aware that, in Q. 6 fact, Charles Wang at that time was the MR. GALLAGHER: Objection. 7 Mischaracterizes the document. director of safety assessment for 8 GlaxoSmithKline? Yeah, he said he can recommend a couple, yes. A. I don't know -- I don't 10 MR. SLATER: Let's take that remember his title, but, yeah, it looks --11 yeah, he was working, yeah, for GSK. down now. And we're going to go to 12 12 Exhibit 319, which is going to be Did GSK know that in June and 13 CHARLESWANG000447. July of 2018 Charles Wang was consulting for 14 ZHP regarding the NDMA contamination in (Whereupon, Exhibit Number 15 15 ZHP-319 was marked for valsartan? 16 16 identification.) MR. GALLAGHER: Objection. 17 17 MR. SLATER: If we could, let's Vague, and calls for speculation. 18 18 A. I have no knowledge of that. go to the first e-mail in the chain, 19 which starts at the very bottom of the BY MR. SLATER: 20 20 second page, please. Perfect. Q. Did Charles Wang ever say to 21 BY MR. SLATER: you anything to the effect of, I'll do this 22 for you, but we can't tell my employer, or we The first e-mail in the chain 23 can't let my employer know that I'm starting at the bottom of the second page is consulting on the side for another dated July 5, 2018 at 1:50 p.m. Page 575 Page 577 Do you see that? pharmaceutical company? 2 2 Mm-hmm. Yep. MR. GALLAGHER: Objection. A. 3 The e-mail reads, "Hi Jim, Long 3 Argumentative, and foundation. O. time no see. Hope everything is going well." A. I don't think this kind of 5 MR. SLATER: Let's scroll down conversation ever occurred. 6 BY MR. SLATER: to see the rest of the e-mail. Q. Why did you consult with "Friend of mine is looking forward a consultant in United States to help Charles Wang, who was employed another them define their product at FDA. Give me a company, rather than hiring an independent 10 call if you are interested. My cell number toxicological consultant? 11 is" -- and then the cell number is redacted. MR. GALLAGHER: Objection. 12 12 Do you see that? Lacks foundation. 13 13 Yes. As I told you, he has been a 14 Then he says -- rephrase. long-time friend of mine, okay, and we didn't 15 Then Charles Wang says, "For know anybody, you know, else in terms of the, your information, I have moved back to US and you know, professional toxicologist, right? 17 still working for GSK" -- that would be And due to the urgency, you know, you know, GlaxoSmithKline, correct? of this nature, we had to, you know, invoke 19 19 Yeah, looks like, uh-huh. him, right? 20 -- "still working for 20 I don't know if you understand, you know, the procedure if you want to hire GlaxoSmithKline in their safety assessment group in Upper Merion, Pennsylvania. Hope to 22 somebody, right, from a company. Like, you meet you again sometimes for catch up. know, there's a lot of red tape you have to 24 go through. "Talk to you later and enjoy

Page 578 Page 580 ¹ rephrase. So due to the very urgent nature, you know, we tried to solve this Did anybody ever tell you that problem as soon as possible. So I naturally, Charles Wang was paid anything for consulting you know, you know, think of him and, you for ZHP with regard to the NDMA contamination of ZHP's valsartan? know, just contact him. A. I don't remember. BY MR. SLATER: 7 7 MR. GALLAGHER: Objection. Q. When you say "there's a lot of red tape," red tape at ZHP to hire a Foundation. professional consultant who is independent? BY MR. SLATER: 10 10 MR. GALLAGHER: Objection. Q. That would be pretty easy to 11 find out, right? If we requested that from Foundation. 12 you, your company should have a record if Go ahead. 13 they paid him, right? A. From a company perspective, no 14 matter, you know, who you hire, okay, you MR. GALLAGHER: Objection. 15 have to go through certain procedures, right? Lacks foundation. Like, you know, a contract for service, you 16 A. You can -- I would say you can 17 know, like a confidential, you know, make, you know, a request, right, just like agreement, whatever. You know, this will Patrick said. You can make a request, you know, through all counsel. They can find out take at least a few days. 20 But here, you know, because the for you. 21 urgency, you know, of the nature, right, we BY MR. SLATER: 22 don't want to waste any single day. Would Jun Du know if Charles 23 Wang was paid for the work he did for ZHP? BY MR. SLATER: 24 24 MR. GALLAGHER: Objection. Q. So you didn't pay Charles Wang Page 581 Page 579 for any of the consulting he did for ZHP? Calls for speculation. 2 That I have no idea. A. You can ask him, okay? 3 BY MR. SLATER: O. You don't know if Charles Wang was paid for the work he did for ZHP? Q. Did you ever speak to the A. I have no knowledge of that, chairman of your company, Mr. Chen, regarding okay, because this is -- you know, this has any of your interactions with Charles Wang been outside of my -- you know, yeah, because and what he was telling you? I'm -- as I said, I'm a technical person. I A. 9 just reach out to him, you know, you know, Q. Did you ever speak to Baohua 10 Chen at all about the nitrosamine for his help. 11 Q. Did you ever discuss with contamination of valsartan sold by ZHP? Did Charles Wang the subject of him being you ever discuss that with him? compensated for consulting for ZHP while he 13 We discussed the matter, you was employed by GlaxoSmithKline as their 14 know, in meetings. 15 director of safety assessment? Q. Meetings in person? 16 16 MR. GALLAGHER: Objection. No, not in person. 17 17 Lacks foundation. O. How were those meetings held? 18 18 As I said, you know, for this I mean, like, you know, when 19 matter I don't know. I have no idea. this event basically occurred, you know, you 20 know, it become the top priority of the BY MR. SLATER: 21 You have no idea if you ever -company. 22 well, let me ask the question. I want to So as the CEO of the company, make sure I'm clear. you know, you know, he organized, you know, 24 quite a few meetings, basically just to Did you ever ask any --

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    ensure, you know, the investigation being
    conducted, you know, as soon as possible,
    and, you know, basically just ask us, you
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know, what kind of resources that we need,
basically.

Q. Were those meetings that you talked about held in person? Well, rephrase.

You said the meetings were not held in person. So how were they held?

A. You know, with a group, like, you know, with a group of peoples.

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Q. Was it over the telephone? Was it by videoconference? How did you communicate with one another in those meetings?

meetings?

 A. Sir, as I said, there are

 different meetings, okay? Some meetings, I
 don't -- you know, I don't remember, you
 know, you know, all the details. But some
 meetings, you know, all the participants, you
 know, were attending in person, some meetings
 probably, you know, involving some
 telecommunications.

Q. So you did have meetings in

meetings involving Mr. Chen regarding the nitrosamine contamination of the valsartan take place over videoconference?

A. I don't remember that ever happened.

MR. GALLAGHER: Adam, we've --you can finish -- we've been going about an hour and 20 minutes. When you get to a natural --

BY MR. SLATER:

Q. Did any of the meetings take place by telephone?

A. As I said, some of the meeting may, you know, may be held, you know, with some attendants, okay, joining by teleconference.

Q. Teleconference means by telephone?

A. Yeah, by telephone, yes.

Q. Did you attend every meeting that Mr. Chen organized and attended regarding the nitrosamine contamination of ZHP's valsartan?

A. I don't think so, like did I

Page 583

Page 585

person with Mr. Chen about the nitrosamine contamination of the valsartan?

² contamination of the valsartan?

3 A. Well, in person, okay, I

thought you mean like, like, just, you know,

like one-on-one meeting, you know. But,

yeah, like a -- when -- a group of meeting, yeah, both Mr. Chen and I as well as other

members of the management, yeah. Yeah, at

⁹ least, you know, yeah, we were attending some

of the meeting, you know, when both Mr. Chen

and myself were physically, you know, attending the meetings.

Q. So you said meetings took place in person, right?

A. Some meeting, yeah, some meeting, yeah, were attended in person, yes.

Q. Were some of the meetings by videoconference?

A. Yeah, uh-huh. Not
 videoconference. I don't think you -- you
 know, you -- we don't have videoconference,
 usually just teleconference.

Q. You said usually

teleconference. Did at least one of the

attended every meeting, because there's a different, you know, you know, you know, aspects dealing with this issue, right.

And, for example, the issue regarding like recall, you know, because I -- you know, as I said, I'm a technical person, those meetings, you know, I never attended, you know, those kind of meetings because it's outside of my scope, outside of my responsibility.

Q. You said --

A. Yeah.

71. I can.

Q. You said that Mr. Chen organized meetings because he was the CEO. So you don't know how many meetings took place or who attended all those meetings?

MR. GALLAGHER: Objection.

¹⁸ Calls for speculation.

Go ahead.

A. As I said, you know, I -- those information I'm not, you know, within my responsibility, okay.

²³ BY MR. SLATER:

Q. Well, I'm not asking for your

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¹ responsibility. I'm asking if you know how

many meetings took place and who attended each of them.

A. I don't --

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MR. GALLAGHER: Objection.

6 Calls for speculation.

A. I don't remember.

BY MR. SLATER:

9 How many meetings did you attend with Mr. Chen regarding the

nitrosamine contamination of ZHP's valsartan?

A. Again, I don't have accurate numbers.

O. Was it 10 meetings, was it 20 meetings? Can you estimate, please?

I just cannot.

Q. You have no idea how many meetings you attended with Mr. Chen?

I don't keep, you know, you know, you know, those things.

21 I'm just asking if you can recall how many meetings. You said this was top priority of the company at the time. I would think you could recall roughly how many

know, at this time I would say probably, you know, maybe single digit or maybe up single

digit.

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BY MR. SLATER:

Q. What does that mean, "single digit or maybe up single digit"?

Like, you know, anywhere like maybe between five or nine or something like that.

Do you recall what was discussed in those meetings?

As I said, I don't, you know, recall all the exact, you know, you know, you know, contents. Basically, you know, you know, the instruction was, you know, we need to, you know, put all the efforts -- you know, the company will support utilizing all the resources, you know, to push this forward as soon as possible.

Using all the resources -- I'm sorry.

22 When you say using all the resources, did that include making sure that there wouldn't be any "red tape" like you

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said before if you needed to hire an expert

consultant to advise the company, for example, on toxicology?

MR. GALLAGHER: Objection.

Lack of foundation.

6 This topic was not discussed, A. okay. So in terms of the resources, from my

perspective, okay, it was, you know, you

know, we need to -- we need to purchase

additional, you know, high-end instrument,

okay, particularly like a mass spectrometry,

a GC-MS, GC-MS/MS, you know, stuff like that.

So he indicated he will give the full

support, like, you know, as long as, you

know, yeah, like how many, whatever, you

know, whenever that I, you know, propose he

will, you know, approve the purchase of these

instrument.

19 BY MR. SLATER:

20 Q. Were notes or minutes taken of these meetings with Mr. Chen? 22

I don't remember.

23 Q. Did you take notes of these ²⁴ meetings?

meetings you attended with the chairman of

the company about this crisis. 3

MR. GALLAGHER: Objection. Argumentative, and asked and answered.

5 BY MR. SLATER:

> Q. Can you recall?

No, I cannot recall the

8 accurate number.

> Can you give me an estimate? MR. GALLAGHER: Objection.

11 Asked and answered.

> As I said, you know, I don't want to provide -- you know, you know, because I don't have this memory, so I don't

want to, you know, provide any specific number, okay?

17 BY MR. SLATER:

18 Well, can you tell me your best 19 estimate, please, or are you unwilling to do 20 so?

MR. GALLAGHER: Objection.

Argumentative, and asked and answered.

23 So if you want to say, you

know, the best estimate by now, you know, you

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A. No.

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- 2 Did you see anybody else taking Q. notes during these meetings?
 - I didn't pay attention to that.
 - So you would go to meetings Q. with the chairman of the company about a situation that was the top priority of the company, and you wouldn't take any notes during those meetings at all?

MR. GALLAGHER: Objection. Argumentative.

And we're getting close to -towards an hour and a half, if you get close to a breaking point.

A. I didn't take note.

BY MR. SLATER:

Q. Is that your typical practice, you go to important meetings and you take no notes at all?

MR. GALLAGHER: Objection.

21 Vague, and argumentative.

22 Because those meetings, you know, you know, from my perspective, as I said, you know, it's very specific, okay.

fully support, okay, in terms of, you know, allocating funding, you know, for the instrument, you know, that I need.

The other meeting, it's most likely he was asking, you know, for our progress, for example, how the method development was ongoing, you know, stuff like

Okay. Did Mr. Chen say any -well, rephrase.

Did Mr. Chen ever tell you or the people in your meetings -- rephrase.

13 During the meetings you attended with Mr. Chen, did he take notes? 15 Did you ever see him taking notes?

- A. No.
- Q. Did anybody take notes in these meetings that you ever observed?
- I just pay attention mostly to Mr. Chen when I spoke, you know, to him.
- 21 When you were in these meetings, did you ever notice anybody in the meetings taking notes?
 - A. I don't re --

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- ¹ Because for me, I just need to have the
- funding to purchase these instrument, so, you
- know, for these simple things I don't think
- ⁴ it's necessary, you know, for me to take
- note. You know, he just, yes, you know, then go ahead.

7 BY MR. SLATER:

- Q. Are you saying that you had five to nine meetings, which is your estimate, and at every one you discussed buying equipment to do testing, and that was the whole meeting every time? You're not 13 saying that, are you?
 - A. No, I'm not saying that. MR. GALLAGHER: Objection. BY MR. SLATER:
 - Q. Do you remember what else was discussed in those meetings with Mr. Chen, the chairman of the company?
- 20 A. Look, you know, as I said, I don't remember, you know, exactly, you know, 22 you know, all the other things, okay.
- 23 The most obvious things is, or the most clear thing is that Mr. Chen was

MR. GALLAGHER: Objection. Go ahead.

A. I don't recall, okay?

BY MR. SLATER:

So a roomful of people meeting with the chairman of the company about a situation that's the top priority of the company multiple times, in all those meetings you never took notes, Mr. Chen never took notes, and you never saw anyone else take notes.

That's your best recollection, is that what you're testifying?

MR. GALLAGHER: Objection. Argumentative, asked and answered, vague, and compound.

A. That's not what exactly what I told you. Okay. What I can tell you is Mr. Chen, he didn't take notes, okay? And I didn't take note. Who else, I don't remember, okay?

- 22 BY MR. SLATER:
 - Q. Were there ever agendas circulated for these meetings; for example,

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Page 594

by e-mail?

- 2 I don't know. I don't remember.
- 4 When these meetings were scheduled, were e-mails sent out or any sort of calendar sent out so everybody would know the date and time and place of the meetings?
- A. I don't remember. I mean, but one thing is, you know, usually, okay, I can tell you my -- you know, like for Mr. -- you know, for meetings with Mr. Chen, usually, you know, his, you know, secretary, you know, would make phone calls. 14

And one of the reason probably was he was quite busy, so we just -- you know, a lot of times we just stand by. And so once he had time, his secretary would call, call us, you know, to go to meeting rooms, you know, with him.

- 20 Who was his secretary? What's O. 21 her name?
 - There is a --

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- 23 Who is Mr. Chen's secretary? Q.
 - A. There are a group, you know, of

His chief of staff, okay, is

Ms. Maggie Kong, as I mentioned the other day.

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Page 597

BY MR. SLATER:

- Q. Is she the person who would call you to tell you meetings were being scheduled?
- A. Sometimes she called me; sometimes, you know, her staff.
- Who are the staff members that worked for her who would contact you?
- 12 A. You know, there would be different, you know, people, okay, so I don't remember, you know, you know, very specifically for, you know, exactly, you know, who under her, you know, called me, 17 okav?
 - Can you remember anybody else's name that contacted you, other than Maggie Kong?
- 21 A. I mean, you know, this is for so long, so I couldn't, you know, give you an accurate. You know, I don't want to provide, you know, you know, you know, anything, you

Page 595

know, inaccurate, okay.

So only thing for sure, you

know, yeah, it would be somebody -- you know,

yeah, sometimes could be her; sometimes, you

know, could be someone, you know, you know, of her staff.

Q. After these meetings would take place, what would Mr. Chen do in terms of taking action based on the meetings?

MR. GALLAGHER: Objection. Lack of foundation, and calls for

speculation.

A. I don't pay attention to, you know, other things, as I said, you know, because my, you know, main function or my main responsibility was to ensure the technical investigation, you know, move forward as soon as possible.

19 BY MR. SLATER:

> Q. Did Mr. Chen give any instructions at these meetings? Other than you said he said, okay, you can buy that machine that you were asking about, did he ever give any other instructions?

people, okay. I don't know exactly, you

know, who would be designated.

I think the best, you know, answer, if you can, you know, maybe you can also go through my counsel, you know, making a formal request, they can provide it, you know, from the staff of Mr. Chen. You know, they probably can give you, you know, a much more accurate, you know, because I don't want to, you know, you know, guess.

Q. You know who works for Mr. Chen. Tell us the names of the people that work for him as his secretaries and assistants.

> MR. GALLAGHER: Objection. Asked and answered.

And, Adam, we've been going over an hour and a half now.

MR. SLATER: I'm in the middle of a line of questioning. I don't want to break this deposition now. I don't think it would be appropriate.

MR. GALLAGHER: I'm not sure where you're going, but okay.

Page 598

As I said, I don't --

MR. GALLAGHER: Objection.

3 Lacks foundation.

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A. As I said, you know, my only

focus, you know, was, you know, you know, for

the part of the responsibility, you know,

from my perspective.

BY MR. SLATER:

O. Was Mr. Chen aware that at least as of July 27, 2017 there were people

in your company that knew that NDMA was in

valsartan that your company was selling? 13

A. He had no idea.

14 MR. GALLAGHER: Objection. No 15 foundation.

BY MR. SLATER:

How do you know he had no idea?

Because I told you, you know,

as I told you before already, okay.

20 Did anybody who either sent or

received that e-mail ever tell Mr. Chen or

tell someone else who told Mr. Chen about 23

MR. GALLAGHER: Objection.

Page 600 ¹ he will. You know, particularly, you know,

this is, you know, right, related to an

investigation of an impurity, right?

Mr. Chen, you know, you know,

he is just at the very top. He wouldn't, you

know, have those details, information,

unless, you know, you know, you know, I

became aware, and then I, you know, will

report that to him, or somebody like from QA or whatever.

But as I said, you know, if people on that list, you know, they -- you know, they feel or whatever, you know, this is an issue, or they may not. As I said, you know, they may -- they may not, you know, or they think, you know, Mr. Lin's claim may be, you know, way exaggerated.

Well, his claim wasn't exaggerated. He was 100 percent accurate about valsartan containing NDMA, correct?

21 MR. GALLAGHER: Objection.

22 Wait, Min.

THE WITNESS: Sorry.

MR. GALLAGHER: Objection.

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Page 601

BY MR. SLATER:

Q. Do you know?

MR. GALLAGHER: Objection.

4 Vague, and lacks foundation.

A. As I said, I -- you know, I

don't remember, or I don't know, you know,

who else on that e-mail list, you know, what

they did afterwards.

BY MR. SLATER:

10 Q. You don't know if Mr. Chen was aware that your company knew about the NDMA in the valsartan as of July 2017?

MR. GALLAGHER: Objection.

Vague, lacks foundation, and mischaracterizes the documents and

15 16 testimony.

17 A. I'm pretty sure he -- you know,

he didn't know. Otherwise, you know, he probably, you know, will talk to me.

20 BY MR. SLATER:

Why do you say that?

Well, because, you know, if

it's really, you know, you know, you know,

you know, a big issue, you know, yeah,

Vague, lacks foundation, calls for

speculation, and mischaracterizes documents and testimony.

I think I answered this

question, you know, several times, okay.

BY MR. SLATER:

Q. Did you tell Mr. Chen that in April of 2018 you told Mr. Lin, who worked for you, not to complete or not to issue -rephrase.

Did you tell Mr. Chen at any time that in April 2018 you told Mr. -rephrase.

Did you tell Mr. Chen at any time that in April 2018 you directed that a report that had been written regarding concern about nitrosamines in irbesartan, and you had instructed that that report not be issued because of the fact that the impurity was sensitive?

Did you tell Mr. Chen that?

No. Α.

MR. GALLAGHER: Objection.

THE WITNESS: Sorry.

Case 1d8nfd-02475-FMB-5AKorMatument 2648-78jeEiledt03/16424teEftgev27 of 53er PageID: 97004 Page 602 Page 604 1 MR. GALLAGHER: Objection. BY MR. SLATER: 2 Outside the scope, vague, compound, Do you know that Mr. Chen has a 3 and lacks foundation. master's in chemical engineering? 4 4 The answer is no. That I ---5 BY MR. SLATER: MR. GALLAGHER: Objection. 6 You said that Mr. Chen was Q. A. Sorry. organizing these meetings. Based on your BY MR. SLATER: understanding and what you observed, was he Do you know that Mr. Chen has a very actively interested in what was background in chemistry or chemical ¹⁰ happening with the contamination of valsartan engineering? Are you aware of that? 11 with nitrosamines? MR. GALLAGHER: Objection. 12 12 As I've said, that he is on top Outside the scope. 13 of the progress, okay? He didn't know, you I know he at least had a know, all those technical details. It's not college degree, okay, but everything else I 15 15 really didn't pay attention. his job. 16 16 I just want to make sure --MR. SLATER: You can take a 17 17 break now. Go off the record. yeah. 18 18 I'm sorry. THE VIDEOGRAPHER: The time 19 19 How do you know he didn't know right now is 8:47 a.m. We're now off 20 20 the technical details? the record. 21 21 MR. GALLAGHER: Objection. (Whereupon, a recess was taken) 22 22 Vague, and calls for speculation. THE VIDEOGRAPHER: The time 23 23 A. He is the CEO of the company. right now is 9:05 a.m. We're back on 24 So if you talk to head -- like a CEO of the record. Page 605 Page 603 ¹ Novartis, you know, he would -- would that BY MR. SLATER: person know the technical details of NDMA? Q. Do you know -- well, wait a ³ BY MR. SLATER: 3 second. 4 Q. I don't know if -- I don't Do you know whether any record know, if it turned out that NDMA was was made of Mr. Chen's interactions with contaminating one of their drug substances other people in the company about the and that substance -- and the NDMA was valsartan contamination? 8 carcinogenic, yeah, I would think the A. I have no idea. 9 Novartis CEO would want to know everything MR. GALLAGHER: Objection. 10 about it, if you're asking me. Calls for speculation, and 11 11 MR. GALLAGHER: Objection. foundation -- lack of foundation. 12 Wait. Wait, Min. 12 BY MR. SLATER: 13 13 Objection. Vague, Can you recall, other than 14 hypothetical, calls for speculation. discussing the equipment that you needed, anything else that you told Mr. Chen

BY MR. SLATER:

Do you know that --

MR. GALLAGHER: Just for the record, we've been going for an hour and

40 minutes now, and I'm sure the court

reporter would love a break, but --

BY MR. SLATER:

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Do you know that Mr. Chen --MR. GALLAGHER: -- your deposition.

nitrosamines? A. I'm sorry, say that again?

connected to the valsartan contamination with

Sure. O.

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20 Do you remember anything you told Mr. Chen regarding the nitrosamine 22 contamination of valsartan?

23 Earlier you told us you discussed some equipment you needed.

Page 26 (602 - 605)

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Anything else?

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As far as I can remember, you know, those are the items that I -- was the -- you know, was the main topic. Everything else I really, you know, do not recall.

But instrument, you know, was really an urgent needs because we need to, you know, have those instruments to be in place.

- Q. What instrument --
- A. Sorry --
- Q. What instrument or instruments did you discuss the need for?
- GC-MS, and also GC-MS/MS in particular, at least initially. And then later on there's also -- I think, you know, we discussed like some LC-MS equipment.
- Didn't you already have a GC-MS machine?
 - A. That ---

MR. GALLAGHER: Objection.

Sorry, go ahead. I'm sorry. You know, we were facing with kept asking for an answer from ZHP and couldn't get an answer?

MR. GALLAGHER: Objection. Lacks foundation, and mischaracterizes documents and testimony.

6 Such detail, you know, such technical details were never discussed, you know, at, you know, Mr. Chen's level. BY MR. SLATER:

Q. Was there discussion about how your company should -- rephrase.

In these meetings with Mr. Chen, was there discussion about how your company should interact with the FDA?

15 MR. GALLAGHER: Objection. 16 Outside the scope.

> THE WITNESS: Pardon. Go ahead.

19 MR. GALLAGHER: Objection. 20 Outside the scope.

21 To the extent you know 22 personally, Mr. Li, you should answer. 23

Anything as far as I know, anything, you know, relating to interacting

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¹ thousands, you know, batches of valsartan need to be tested, okay, so a single, you know, GC-MS, you know, would not be sufficient, right.

And also that, you know, particular GC-MS also was needed, you know, to develop and optimize, you know, analytical methods. So we need to place the GC-MS also in the QC. Because in QC, in Chuannan CC there had been no GC-MS instrument, so we need to put these, you know, instrument into

¹² Chuannan QC site, right. So eventually, you know, Chuannan QC site became the, you know, the main testing site for those, you know, thousands batches of commercial, you know, batches of the valsartan.

18 BY MR. SLATER:

19 Q. Was Mr. Chen told during these meetings that multiple customers of ZHP had since 2014 been complaining that there was unknown peaks and interference on chromatograms, and they were concerned about what impurities might be there, and that they

with regulatory agencies was taken care of by the RA department. Mr. Chen would not have, you know, such detailed knowledge, you know, how to interact.

BY MR. SLATER:

Q. How do you know that? Do you -- did you attend the meetings with the regulatory people that he attended?

A. I don't remember. But as I said, based upon my, you know, my observation, okay, he just would not be involved in too much, you know, operational details, okay. He's only pay attention to 14 high levels, okay, like every --15

Q. One of your very profitable drugs was contaminated with something that caused cancer. That's about as high level as it gets, right?

MR. GALLAGHER: Objection. Argumentative.

21 A. I don't want to comment on 22 that, okay. 23

BY MR. SLATER:

Do you know whether or not

¹ Mr. Chen ever discussed with anybody how your company should interact with the FDA?

A. I don't remember -- sorry.

MR. GALLAGHER: Objection.

Outside the scope, and asked and answered.

A. I don't remember.

BY MR. SLATER:

9 At any of these meetings that you attended, did Mr. Chen ever ask you, how did this happen, and ask for an explanation for how this could happen? 13

MR. GALLAGHER: Objection.

14 Vague.

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15 BY MR. SLATER:

16 Q. Time out. I'm going to ask the 17 question again because counsel said it's vague, so in case, in case, you know, that objection will be sustained I'm going to ask the question again.

21 Did Mr. Chen ever ask you, how was it that our valsartan could be contaminated with a nitrosamine and we didn't know about it? Did he ever ask that

BY MR. SLATER:

Q. Did you tell Mr. Chen that in multiple drafts the deviation investigation report stated that your company had insufficiently researched and studied the

Page 612

Page 613

chemical processes, and then somebody made the decision to take that language out of the

report before the report was finalized? Did

you or anyone tell them that, to your 10

knowledge?

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MR. GALLAGHER: Objection.

Vague, and argumentative.

A. I don't remember those details. But my guess is, you know, such details would not be discussed during those meetings usually.

17 BY MR. SLATER:

18 Q. Did you or anybody else in your presence tell Mr. Chen that your company failed to sufficiently research or study the chemical processes, and that's why your company didn't know that NDMA was a potential contaminant from the beginning? 24

MR. GALLAGHER: Objection.

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question?

I don't remember specifically, okay, he -- like he specifically asked that question, okay. But I can tell you at least in one of those meetings like, like I explained to everyone, you know, you know, the root cause analysis as we put into this deviation report.

Q. When you say the deviation report, you mean the deviation investigation reports that were provided to the FDA?

Yes.

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MR. GALLAGHER: Objection. Lacks foundation.

The deviation report actually, A. you know, you and I, we just went through, you know, an early draft version. Yeah, I think that -- that's the deviation, you know, investigation report.

20 But what you presented, you know, was only -- you know, looks like an early version. It's not the final, finalized 23 version.

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Vague, lacks foundation, and

argumentative.

3 As I said, you know, you know, whatever the background information provided in the deviation, you know, in that deviation

investigation report, you know, I remember

that, that I, you know, as I said, I give a

description or, you know, basically went

through that some of those contents in the

deviation, you know, introduction part.

BY MR. SLATER:

Q. Did anybody -- rephrase. Did you or anybody else, to your knowledge, tell Mr. Chen that your company was aware that the valsartan your company was selling was contaminated with NDMA long before it came out in June of 2018 to the public?

MR. GALLAGHER: Objection. Vague, and lacks foundation.

21 As I told you, you know, I mean, people attended -- you know, I mean, basically, you know, we didn't know, I mean,

you know, at a high level, before the events.

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¹ BY MR. SLATER:

What do you mean, "we didn't know at a high level"?

You know, for those people, you know, attending, you know, the meetings.

> Q. Well --

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A. As I said, nobody except

7 Mr. Chen -- sorry, Mr., you know, Lin, as I said, he made some guess, you know, out of, you know, you know, some irbesartan, you know, experiment, right? He's making some -his projections, you know.

Nobody else -- you know, nobody else, you know, know, you know, there was an issue, until, you know, June the 6th, 2018.

Just to be clear, Mr. Lin stated July 27, 2017 that what he was seeing with irbesartan was similar to the NDMA that occurs in valsartan when quenched with sodium nitrite. That's what he said in the e-mail.

And you knew that because it was in the e-mail that was sent to you, so you had that information, correct?

MR. GALLAGHER: Objection.

know, that e-mail, right? Yeah, I just read

through, you know, it looks like, you know,

he's making his projections.

BY MR. SLATER:

Q. Well, he wasn't projecting regarding valsartan. He was stating it as a fact that it's known that NDMA occurs in valsartan when quenched with sodium nitrite.

And that statement in his e-mail was scientifically accurate, correct? MR. GALLAGHER: Objection.

Lacks foundation, compound, and mischaracterizes documents.

14 As I told you, if you look through that e-mail, okay, the data that he had, okay, based upon -- you know, again, based on content of that e-mail, the data that he had were from the experiment with irbesartan, okay?

There's no -- there's no data mentioned with anything, like, related to, you know, valsartan, right?

I mean, can you see, you know, from the very beginning, go through this

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Compound, lacks foundation, and 2

mischaracterizes documents.

3 As I told you before, you know, A. for some reason that e-mail just slipped through, you know. So I -- you know, I had no memory, I don't, you know, know until, you know, you just showed me a few days ago, like the day before.

BY MR. SLATER:

Q. Well, if you had no e-mail, why do you keep telling me that this was some sort of a guess or something else or speculation by Mr. Lin if you don't remember it?

Well, based upon -- you know, now, based upon the --

MR. GALLAGHER: Wait. THE WITNESS: Sorry.

MR. GALLAGHER: Objection.

Argumentative, and asked and answered. You know, it's basically, yeah,

based upon that e-mail, yeah, now you provide to me, by reading through. Right? You

provide me, you know, the day before, you

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whole document? You know, I don't see it. BY MR. SLATER:

Q. He didn't actually -- rephrase. What he said was that he was seeing with the irbesartan was similar to the NDMA that occurs in valsartan when quenched with sodium nitrite.

He didn't need to present data in this e-mail because that was a fact he was reciting in the e-mail which was accurate.

No, no, no. The --MR. GALLAGHER: Wait. THE WITNESS: Sorry. MR. GALLAGHER: Objection.

Lacks foundation, vague, and mischaracterizes the document.

17 The only data or external information or publicly available information related to valsartan, you know, if you can see, is related to that patent, right. So that patent is actually, you know, referring to, you know, impurity K in valsartan. Okay? BY MR. SLATER: 23

> O. This was internal information

Page 618 Page 620 ¹ that wasn't being shared with anybody from Did anybody in your company ² outside the company, this e-mail from July identify NDMA through chromatography prior to July 27, 2017 where you were made aware that 2017. It's an internal e-mail, right? 4 Yeah, it is internal e-mail, it had been identified on the test? A. Before -- I'm sorry. Before yeah. 6 And when -- rephrase. which date? Q. 7 7 Q. Before the e-mail sent by When that e-mail states that Mr. Lin on July 27, 2017. NDMA occurs in valsartan when it's quenched 9 MR. GALLAGHER: Objection. with sodium nitrite, that's an accurate statement. That's actually the root cause of 10 Lack of foundation. 11 the NDMA contamination, right? A. I was not aware. 12 MR. GALLAGHER: Objection. 12 BY MR. SLATER: 13 13 Lacks foundation, and mischaracterizes Q. Was anybody in your company 14 the document. aware of that, that --15 15 I'm not sure exactly, you know, A. I -based upon -- you know, based upon that 16 MR. GALLAGHER: Objection. 17 particular wording, you know. 17 BY MR. SLATER: 18 18 But, you know, to me, you know, Q. Was anyone in your company when he said quenching with, you know, aware of a test result that you know of that valsartan, you know, quenching with sodium showed NDMA in valsartan before July 27, 21 nitrite, right, the only -- as I said, you 2017? 22 know, the available, you know, information, MR. GALLAGHER: Objection. 23 23 it looks like is the attachment of that Lack of foundation. 24 external patent. A. I don't know. Page 619 Page 621 BY MR. SLATER: Okay. That patent, if you look through it, it's only talking about, you Q. To your knowledge, was anybody know, component, you know, like impurity K in your company disciplined in connection and L, probably. with the valsartan contamination with NDMA? 5 BY MR. SLATER: A. I have no knowledge. 6 Would you be surprised if I was MR. GALLAGHER: Objection. able to show you documentation that your THE WITNESS: Sorry. company did chromatography and identified MR. GALLAGHER: Lack of NDMA in valsartan prior to July 27, 2017? foundation, calls for speculation. 10 10 Would that surprise you? BY MR. SLATER: 11 11 MR. GALLAGHER: Objection. For example, did anybody lose Q. 12 12 their job? Argumentative. 13 13 Yeah, I would be surprised if MR. GALLAGHER: Same objection. you say, because I don't -- you know, I'm not 14 A. I don't know. aware of that. BY MR. SLATER: 16 16 Was anybody reassigned? BY MR. SLATER: 17 17 Q. Would it be surprising to you MR. GALLAGHER: Same objection. 18 if we were to show you documents indicating As I said, I don't know. I A. that there were people within your company mean, this is not my job; this is human that had figured out that there were 20 resources' job. I don't know. 21 nitrosamines, likely NDMA -- rephrase. Let MR. SLATER: Let's go, Cheryll, 22 22 me rephrase it. back to Exhibit 319, to the second 23 23 I'm going to actually ask you page, please. 24 even more directly. Actually, let's -- just to

Page 622 Page 624 reorient, just stay there. Thank you. is at the bottom of the first page. 2 BY MR. SLATER: Perfect. 3 Q. Looking at Exhibit 319, it Q. Charles Wang then responds on starts with a July 5, 2018 e-mail from July 5, 2018 at 10:27 p.m., so this is still Charles Wang to someone named Jim, and we on the same day as the first e-mail. just went through that e-mail a moment ago. Do you see that? 7 MR. SLATER: And we can scroll Yeah, mm-hmm, it's July 5th, A. 8 yeah, 2018. down to the second page of that 9 9 e-mail, please. No, no, that e-mail. O. Writes to Jim MacDonald. 10 10 Sorry. Thank you. Go to the bottom A. Mm-hmm. 11 of the page, please. Yes. And he says, "Hi Jim, Nice to O. 12 Okay. Now, it's actually --12 hear from you. Hope everything is going 13 let me ask you this question, actually. well." 14 Where -- rephrase. MR. SLATER: You can scroll 15 15 Where Mr. Wang told Jim that a down now, Cheryll, so we have the ¹⁶ friend of his was looking for a consultant in 16 whole e-mail. 17 the United States to help them define their Q. Okay. I'll start over. 18 product at FDA, at that point you were aware The e-mail reads, "Hi Jim, Nice and you had authorized Mr. Wang to find an to hear from you. Hope everything is going 20 independent consultant for you? 21 21 MR. GALLAGHER: Are you done? "Sorry to disturb you during 22 Objection. Vague. your vacation. My friend's company will have 23 It looks like, yeah, we asked a face-to-face meeting with FDA to debit if him probably, you know, yeah, to go ahead and they should recall their product in US market Page 625 Page 623 try to find. next Thursday and likes to get some advice 2 MR. SLATER: Okay. Now let's from people like you quickly." 3 3 go up to the next e-mail, please. Do you see that? Thank you. Yes. A. BY MR. SLATER: Q. And that's consistent with what In response to Charles Wang's you had discussed with Mr. Wang, that you e-mail on July 5th at 1:50 p.m., Jim were looking to bring in another consultant MacDonald, we can see his e-mail, writes back to help prepare for that meeting, correct? 9 to Charles. MR. GALLAGHER: Objection to 10 10 Do you see that? the extent it mischaracterizes 11 11 Yeah, mm-hmm. testimony. 12 12 He says, "Good to hear from Q. You can answer. 13 13 you. A. As I -- yeah, as I said, yeah, 14 "I am at the beach with my it looks like, yeah, we, you know, we -family. I'll be back in the office from basically we took his recommendation to Monday and" I will give you -- "and will give looking for, yeah, an expert on the -- you 17 you a call. It will be good to catch up. know, yeah, on that particular, you know, 18 "Best regards, Jim." carcinogenicity area, yeah. 19 19 So that was the response, BY MR. SLATER: 20 20 correct? Q. And again, as you said earlier, 21 you had a lot of trust in Mr. Wang, Mm-hmm. 22 22 MR. SLATER: Okay. Now let's considered him to be a reliable expert, so

scroll to the next e-mail, and I think

that the very beginning of that e-mail

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you asked him to go find you the most

qualified person he could find basically,

Page 626 Page 628 ¹ right? MR. GALLAGHER: Objection. 2 2 Vague, and lacks foundation. Yeah, it looks like. 3 3 Q. This e-mail continues --Yes. But these are, you know, rephrase. very small fractions. We also have very low numbers, like single digit numbers. The e-mail continues, "Not sure if you heard Huahai Pharma Group, one of the BY MR. SLATER: largest generic drug company in China with a Q. Continuing in the e-mail, Charles Wang writes, "Their client in EU" -branch in US (Cranberry, NJ). Li knows their US CEO as well." and that would be the European Union? 10 10 Do you know who Li is? Yeah, it should be. 11 11 I have no idea. Q. And when they talk about --12 Q. Do you know if that's you 12 rephrase. 13 13 that's being referred to? When he speaks about your 14 Based upon the content, it client in the EU, he's talking about 15 15 should not be me. Novartis, right? 16 So the -- rephrase. 16 MR. GALLAGHER: Objection. 17 17 The e-mail continues. "Li Lack of foundation. 18 knows their US CEO as well. Huahai has a I would say probably, but I product in US market with the maximum daily wouldn't be 100 percent. It probably is dose of 320 milligrams, which recently was Novartis. 21 ²¹ found containing high Nitrosodimethylamine BY MR. SLATER: 22 ²² (NDMA, not know exactly how much but around Q. It says, "Their client in EU 30 parts per million)." said it should be at 0.3 parts per million 24 based on TD50 calculation." I want to stop there. Page 629 Page 627 Do you see the reference to That was what Novartis said, 30 parts per million? correct? 3 3 A. Yes. That was Novartis said it at A. And we've been through the test one point, yeah. As I said, you know, some results already earlier in your deposition. early discussion with Novartis, you know, You would agree with me that 30 parts per they were basing the TD50 from a primate, but million is actually on the very low side then the, you know, the threshold would be compared to the ranges of NDMA that was found lower, yeah. 9 in the zinc chloride process valsartan, But this one, as I said, it 10 correct? looks like based upon the rodent, you know, 11 11 studies. MR. GALLAGHER: Objection. 12 12 Q. And the 0.3 that Novartis Vague. 13 The average, as far as I can recommended actually is the number the FDA remember, is somewhere around like 55 or ended up agreeing on as well, correct? 15 maybe, you know, between 55 and 60 ppm MR. GALLAGHER: Objection. 16 16 average. Outside the scope, and lack of 17 BY MR. SLATER: 17 foundation. 18 18 I would say eventually. You That's your best recollection? 19 know, at a very early stage, you know, FDA A. 20 We went through the list, the did take this as an interim spec, and then numbers ranged up as high as 188.1, 165.1, the, you know, the official allowable intake 172.3, there were some -- some very high at that time, you know, as I said, was -numbers on that chart that we went through 23 should be absent. the other day, correct? 24 But then, you know, after, you

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¹ know, maybe about a year or so, you know, now ² FDA basically broadened that to, you know,

³ 0.3 ppm.

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⁴ BY MR. SLATER:

Q. The e-mail continues. "They ⁶ would like to know if they can argue to set ⁷ limit higher based on NDMA is considered a ⁸ Class 2A carcinogen (limit at threshold of toxicological concern of 1.5 micrograms per day) and the longest duration of human

exposure in US will be less than 3 years." Do you see that?

A. Yes.

Q. And we went through earlier the e-mail where Charles Wang actually told you that NDMA actually should be a class A -- a class -- rephrase.

18 And we went through earlier the e-mail where Charles Wang told you he thought ²⁰ NDMA met the criteria for a Class 1 carcinogen. We saw that e-mail a few minutes ago, right?

MR. GALLAGHER: Objection. Lack of foundation, and to the extent

chain which starts about a third of 2 the way down the first page of this 3

page. Perfect.

Q. On July 6, 2018, the next day, at 11:11 a.m., Jim MacDonald responds.

Do you see the e-mail?

Yes. A.

Q. And we now can see where Jim

MacDonald comes from, he has a company called Synergy Partners Research & Development

Solutions, and he's listed as James S.

MacDonald Ph.D, Founding Partner, right?

A. Yes.

Q. Jim MacDonald writes, "Charles, I'm afraid I can't be of much help in this case particularly on this time scale.

17 "NMDA (or dimethylnitrosamine) is a pretty well-known toxin and animal carcinogen with lots of discussion on permissible levels in drinking water and products. Even though the compound is found in cured meats and some groundwater, the body of evidence on this suggests pretty clearly that this is a likely human carcinogen at

Page 631

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it mischaracterizes the document and testimony.

Yes, we looked through that A. document. But as I indicated, okay, that's the MSDS, you know, from that particular chemical company, okay.

Based upon today's knowledge, you know, if they talking about that classification based upon IARC, you know, that information was incorrect, okay. The IARC categorization as of today is still 2A, ¹² Class 2A.

BY MR. SLATER:

The e-mail continues. "Let me know if your company can help. I will ask them to contact you directly and send you more details.

"Thanks a lot for your help and enjoy your vacation. Best, Charles."

And that's how that e-mail ended, correct? Do you see that?

> Α. Yes.

MR. SLATER: Let's go now, Cheryll, to the next e-mail in the

sufficient exposures."

Do you see that?

A. Yes.

And a likely human carcinogen means something that likely causes cancer in humans, that's what that means, correct?

Page 633

I think I went through this topic many times. It is a, you know, probable human carcinogen.

He continues. "The argument that the company would have to make to keep this product on the market will be very difficult with this profile. I'm not exactly sure where one would begin given the very high levels you think they are seeing."

I want to stop there.

When he refers to the very high levels, we just saw in the earlier e-mail that he was quoted a level of 30 parts per million in the prior e-mail, correct?

MR. GALLAGHER: Objection. Lack of foundation, and calls for speculation.

Let me read through, okay?

Page 634 Page 636 or you can disagree with my math. ¹ BY MR. SLATER: 2 2 Q. Just so you understand my So your calculation based upon what, 60 ppm or -question, when he refers to the very high ⁴ levels you think they are seeing, I had just Q. Right, 60 ppm for a shown you in the prior e-mail that Charles 320-milligram pill would be 19,200 nanograms Wang, or Wang, had quoted him 30 parts per of NDMA, correct? million. MR. GALLAGHER: Same objection. 8 19,000 -- wait a second. You Do you remember that? 9 MR. GALLAGHER: Objection. said 19,000 -- what is the rest of the 10 number? Lack of foundation, and calls for 11 BY MR. SLATER: speculation. 12 Yes, I remember that 30 parts 12 Q. 19,200. 13 per million number, yes. A. 200 nanogram. 14 14 BY MR. SLATER: Right. That's the number, Q. 15 15 Q. So this toxicologist -right? 16 rephrase. 16 Probably. I mean, you know, I 17 So this toxicologist who didn't check myself. But it's probably on Charles Wang was going to on your behalf was the ball park. actually telling you that 30 parts per 19 And if it was 60 parts per million were very high levels, and we've 20 million, the average that you told me, and a already established the levels were actually 160-milligram pill, we do 60 times 160 and higher, correct? come up with 9,600 nanograms, correct? 23 23 MR. GALLAGHER: Same objection. MR. GALLAGHER: Objection. 24 24 Hold on, let me double-check. Lack of foundation, compound, and A. Page 637 Page 635 So 60, right, 60 times 320. vague. 2 Yeah, 19,200 nanogram, yeah, A. The average value is higher than 30 ppm. But, you know, there was, you for the 60 ppm for 320 milligram, yeah. know, certain numbers of batches that were BY MR. SLATER: below 30 ppm. The ultimate limit that the FDA BY MR. SLATER: set was 96 nanograms, correct? 7 7 Q. You told me the average a Α. Yes. 8 moment ago was, your best recollection, was MR. GALLAGHER: Objection. 55 to 60 parts per million, correct? Outside the scope. 10 10 BY MR. SLATER: Α. Yes. 11 11 So if we take 19 -- rephrase. Q. And going back to the math that Q. 12 we did before, if it was 60 parts per million So if we take 60 parts per 13 for a 320-milligram pill, that would be million -- rephrase. 19,200 nanograms, correct? 60 times 320, If we take a 320-milligram 15 right? pill, which would be 19,200 nanograms of 16 16 NDMA, and we divide that by 96, it comes to MR. GALLAGHER: Objection. 17 17 Calls for speculation and expert 200. 18 18 testimony. So that would be 200 times the 19 19 Are you asking him to do the limit that the FDA ended up setting, correct? 20 20 calculation? Do you have a MR. GALLAGHER: Objection. 21 21 calculator? Lack of foundation, and outside the 22 22 BY MR. SLATER: scope, and calls for expert testimony. 23 23 The calculation, it looks like You certainly don't -- you can agree with me or you can check it yourself, it's correct. 19,200 divided by 96 is 200.

Page 638 Page 640 BY MR. SLATER: MR. GALLAGHER: Objection. 2 2 And even for a 160-milligram Lacks foundation. pill, we'd be talking about 100 times the FDA I don't remember the details. limit of 96 nanograms, right? He probably talked to me verbally, at least. BY MR. SLATER: MR. GALLAGHER: Objection. 6 Lacks foundation, outside the scope, Q. He certainly would have let you 7 and calls for expert testimony. know, hey, I spoke to Jim MacDonald, the guy The calculation seems to be I thought could help us, unfortunately this 9 correct. is the response I got. 10 10 BY MR. SLATER: He at least would have let you 11 know what happened and what the information And that's -- rephrase. 12 And those numbers are based on 12 was, right? 13 60 parts per million, which is double the MR. GALLAGHER: Objection. 14 30 parts per million that this toxicologist Lacks foundation, calls for 15 who was being consulted on your behalf said speculation. were already very high levels, correct? 16 I just said I don't remember 17 17 MR. GALLAGHER: Objection. the detail, okay? 18 18 And one thing, you know, you Lacks foundation, vague. 19 know, I think I need to maybe provide, you A. I mean, whatever it says in the 20 e-mail, you know, I mean, it's there. know, some of the, you know, background, 21 21 BY MR. SLATER: okay? 22 22 Reading the e-mail further, You know, even in this ongoing, 23 right here it's mentioned, you know, this Mr. -- rephrase. 24 Reading the e-mail further, like, you know, upcoming meeting with FDA, Page 641 Page 639 ¹ James MacDonald says, "I think the strategy I okay, so during that meeting, you know, FDA would probably recommend would be to come up still asked us to be on hold with regard to ³ with a CMC plan to remove the contaminant (at our, you know, question whether we should do ⁴ least to minimally detectable levels) while the recall immediately, okay? ⁵ they recall the existing product and So the thing is, you know -- or re-formulate. I expect this is not what they the fact, you know, indicate at that time FDA would want to hear but, unless there is a still was not sure, you know, obviously, you compelling reason to leave this product on know, because by considering, you know, the the market (e.g.: only product available to potential drug shortage. So FDA still wasn't treat a serious, life-threatening disease), I sure, you know, what a level or an interim would expect the FDA would ask for a recall. limit should be set, you know, to -- you ¹² I'd be interested to know what happens at the 12 know, potentially to allow some batch. 13 FDA meeting. These things are always very For example, you know those difficult to predict - but this is not a good 14 batch below 30 ppm, you know, still position for this product in my view. temporarily remain on the market, you know, 16 "Hope all is well with you. 16 to address, you know, the potential, you 17 17 "Best regards, Jim." know, drug shortage issue. 18 18 Do you see that? MR. SLATER: Going now to the 19 19 Yes. top of the page, please. A. 20 And Mr. Wang relayed to you 20 BY MR. SLATER: 21 that he had spoken with Jim MacDonald and O. The next e-mail in this what the result of that interaction had been document is July 17, 2018, Charles Wang after he had heard from Mr. MacDonald, 23 writes to Jim MacDonald. 24 correct? Do you see that?

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Page 642
                                                                                              Page 644
1
       A.
             Mm-hmm.
                                                              MR. GALLAGHER: Objection.
             He says, "Hi Jim. You may have
                                                          Lacks foundation.
       O.
   already seen this," and then he has the link
                                                      BY MR. SLATER:
   to Press Announcements.
                                                          Q. Did Charles Wang tell you that
                                                      he agreed with Jim MacDonald's call on what
            "It is exactly like you
   expected, and I agreed with your call.
                                                      should happen here?
                                                    7
                                                              MR. GALLAGHER: Objection.
            "Thanks again for your help.
   Keep in touch for the future collaboration
                                                          Lacks foundation.
   opportunity."
                                                               So the e-mail, you know, yeah,
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                                                      says whatever, yeah, he says. I mean, as I
            Do you see that?
11
                                                      told you, you know, from the very beginning,
             Yes.
       Α.
12
                                                      you know, after, you know, you know, you
       0.
             And this would have been the
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   announcement of the recall, correct?
                                                      know, you know, we determined the root cause,
14
                                                      you know, we determined -- you know, we
            MR. GALLAGHER: Objection.
15
                                                      developed a method and we, you know,
       Lacks foundation.
16
                                                      determined the range or the average of the
             That's July 17th.
17
            So can we go down? Okay.
                                                      contents, as I said, we immediately, you
18
                                                      know, approach FDA, ask whether we should do,
            So the previous one was the
                                                      you know, immediate recall, you know, as I
   July 6th. So whether this is related to
                                                   20
                                                      said.
   recall, you know, we can take a look, you
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                                                   21
                                                              But it looks like, you know,
   know.
22
            Can we, you know, take a look
                                                      it's probably, based upon the contents, you
23
                                                      know, the likely scenario would be, yeah, by
   of this announcement?
24
                                                      July 17th FDA made this announcement, and
            ///
                                          Page 643
                                                                                             Page 645
   BY MR. SLATER:
                                                     also we also received, you know, FDA's
2
                                                      instruction, you know, to start recall, as we
       Q. I'm just asking if you agree
   with me that he would have been talking about
                                                      have been asking FDA, you know, you know,
   the recall at that point, on July 17, 2018.
                                                      whether we should do, you know, immediately
5
           MR. GALLAGHER: Objection.
                                                      or as soon as, you know, it should be done.
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                                                              MR. SLATER: Cheryll, if you
      Lacks foundation.
                                                          could scroll down a little bit more,
       A. I mean, eventually FDA, yeah,
   basically agree, you know, with us, you know,
                                                          please. Perfect.
   at that time, you know. They, you know,
                                                      BY MR. SLATER:
                                                   10
   allow us to, you know, to initiate the
                                                          Q. I want to go back to the e-mail
   recall, you know, for those batches, you
                                                      from July 6th where it's documented that
  know, impacted. Yeah.
                                                      Dr. MacDonald told Charles Wang that the body
13
                                                      of evidence suggests pretty clearly that this
           But I'm not sure whether, you
   know, if this particular July 17th. But it
                                                      is a likely human carcinogen at sufficient
   looks like, but, you know -- but essentially,
                                                      exposures. Do you recall we talked about
                                                   16
                                                      that a few moments ago?
   as I said, that during the -- you know, that
                                                   17
   upcoming meeting, you know, a teleconference
                                                          A.
                                                               Yes.
                                                   18
   meeting with FDA, you know, FDA at the time
                                                          Q.
                                                               Charles Wang told you the same
                                                   19
19
   still asked us to hold on the recall.
                                                      thing, right?
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                                                   20
                                                              MR. GALLAGHER: Objection.
   BY MR. SLATER:
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21
                                                          Lacks foundation, and I'm going to
            Where -- rephrase.
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                                                   22
                                                          object to outside the scope.
           Charles Wang, as we can see in
                                                   23
   this e-mail, told Jim MacDonald, "I agreed
                                                              Adam, I've let you ask
                                                   24
   with your call."
                                                          questions about this --
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Page 646 Page 648 1 ¹ IARC, you know, you know, classification to MR. SLATER: I don't know why 2 be, you know, 2A, you know, basically means, you're saying this, Patrick. This is 3 ZHP's evaluation of knowledge of the you know, you know, it's a potential or 4 health risks of nitrosamines, probable, you know, to human based upon, you 5 Topic 36. know, animal, you know, you know, studies. 6 MR. GALLAGHER: Nobody from --BY MR. SLATER: 7 nobody from ZHP is on this e-mail. Q. Did you or anybody from your 8 Nobody from ZHP is on this e-mail. He company ever tell the FDA that a toxicologist 9 said he doesn't recall talking to him. who was hired by your company advised you 10 MR. SLATER: Are you going to that NDMA was a likely human carcinogen? 11 11 testify now? Relax. Are you going to MR. GALLAGHER: Objection. 12 12 testify now? Outside the scope, and calls for 13 13 MR. GALLAGHER: No, I'm speculation. 14 14 objecting it's outside the scope. You A. I don't remember, you know --15 15 told -- you just said ZHP's knowledge. MR. GALLAGHER: And lack of 16 Nobody from ZHP is on this e-mail. 16 foundation. 17 17 MR. SLATER: Are you testifying MR. SLATER: Just bear with me 18 18 now -for a second. I think I misplaced a 19 19 document. Sorry, everyone, but I'm a MR. GALLAGHER: You're trying 20 20 to put words in Dr. Wang's mouth of little confused. 21 21 what he told Dr. Li. He said he Okay. I got it. Okay. 22 22 doesn't recall. I'm just objecting We can take this one down. And 23 23 outside the scope. Ask your let's go to -- the last one was 319, 24 24 questions. right? Let's go to Exhibit 320, which Page 647 Page 649 1 MR. SLATER: Would you like to starts with CHARLESWANG000164. 2 2 testify? We could place you under (Whereupon, Exhibit Number 3 3 oath if you want? I mean, you're ZHP-320 was marked for 4 4 literally -- you realize how far over identification.) 5 5 the line what you just did is. Please MR. SLATER: And what I'd like 6 6 don't do that again. I'd really to do is go to page -- the Bates 7 number is 179. Go to the very top. I appreciate it. 8 8 BY MR. SLATER: just really want to go to the very top 9 9 of the page, actually. Q. Did Charles Wang tell you that 10 it was clear to him that NDMA was a likely BY MR. SLATER: 11 human carcinogen at sufficient exposures when Q. Do you see at the top it says, you were consulting with him? 12 "WeChat communication with Min Li and Jun Du, 13 MR. GALLAGHER: Objection. 13 July 8, 2018"? A. Okay. 14 14 Lack of foundation. 15 15 Q. Do you see that? As I said, I don't remember, 16 you know, such details, okay. But based A. Mm-hmm. 17 upon, you know, the e-mail communication with And do you recall that you ¹⁸ him, yeah, he indicated, yeah, it's a likely, communicated through WeChat with Charles Wang 19 you know, human carcinogen, okay, based upon, and -- or Charles Wang and Jun Du? you know, the results from animal studies. 20 A. I don't recall the details. 21 ²¹ Right. It's likely, you know, it's a Why was Jun Du involved in any 22 probable, you know, it's -- you know, it's of your interactions with Charles Wang? 23 23 Why? I mean he's the -- you the same meaning. 24 know, first of all, he's the executive vice I mean, just like in, you know,

Page 650 Page 652 ¹ president of the company, and also he's the MR. SLATER: Cheryll, can you ² head of Huahai in the US and also, you know, 2 go to page 10 of this? The Bates 3 ³ the Prinston Pharma. number is 173, please. 4 Q. Did you tell Jun Du the Q. There's a table that we see on feedback you got from Charles Wang after he this page, and it says, "Table 1: Reasonable had communicated with Jim MacDonald in early Worst-Case Estimates of Daily Intake of NDMA July 2018? by the General Population in the Sample 8 Country." MR. GALLAGHER: Objection. 9 9 Vague, and lack of foundation. Do you see that? 10 10 I'm sorry, could you repeat the A. Yes. 11 11 question? Do you know where that table O. 12 BY MR. SLATER: 12 came from? 13 13 Q. Sure. MR. GALLAGHER: Objection. 14 14 Did you tell Jun Du the Lack of foundation. 15 feedback you got from Charles Wang after he Right now I don't, just don't had communicated with Jim MacDonald in early remember the details. 17 17 July of 2018? BY MR. SLATER: 18 18 Q. To the ex -- well, rephrase. A. I don't remember -- sorry. 19 MR. GALLAGHER: Objection. Or withdrawn actually. 20 Okay. Let's -- just so that Lack of foundation. 21 A. I mean, as I said, I don't you can be familiar with this table, you see that it talks about how people can be exposed remember, you know, such details, but at a such -- you know, at a certain point, you to NDMA and -- during the day, and it lists know, he came to know. on the left-hand column things like air, Page 651 Page 653 BY MR. SLATER: water, food, indoor air, groundwater, beer, 2 Q. At some point you told him? and shampoo. 3 3 I don't remember whether I Do you see that? told -- told him or Charles Wang told him. I MR. GALLAGHER: Objection. 5 just don't remember, you know, you know, the Vague, and lack of foundation. 6 details. A. It is listed there. 7 7 Now, what I want to do is go to MR. SLATER: Okay. Let's take 8 the very first page of this document now, the that down now and go to Exhibit 210. 9 Bates number 164. Let's go back up to the Give me a second. You know, I 10 10 top. apologize, Cheryll, can you go back to 11 11 the prior exhibit, please? I'm sorry And this was a draft report 12 that Charles Wang provided. to make you go back, it's Exhibit 320. 13 13 MR. SLATER: Cheryll, if you If you could, go back, go back 14 14 could scroll down to the bottom half to page Bates number 182, please. 15 15 of the page just so we can show that. It's page 19 of the report, of the 16 16 And you recall Charles Wang draft. 17 provided some potential draft reports for you 17 BY MR. SLATER: 18 about safety assessments for NDMA? This is at the end of that 19 MR. GALLAGHER: Objection. draft report that Charles Wang provided. Do 20 20 Lack of foundation. you see this, he provided a biography? 21 21 There are probably some draft, A. Yes. 22 22 yes. MR. GALLAGHER: Objection. 23 23 BY MR. SLATER: Lack of foundation, and 24 24 mischaracterizes testimony. What I'd like to do now is --

Page 654 Page 656 1 ¹ United States." MR. SLATER: What's the lack of 2 foundation? It's a document you Do you see that? 3 3 produced to us. Yes. A. 4 4 MR. GALLAGHER: The document O. Do you know why this doesn't 5 Charles -- it came from Charles Wang. list the fact that he was currently working 6 You haven't established with the at GlaxoSmithKline? 7 7 witness or what --MR. GALLAGHER: Objection. 8 8 MR. SLATER: What haven't I Calls for speculation. 9 9 established? I'm sorry. A. I have no idea. 10 10 MR. SLATER: All right. Now we MR. GALLAGHER: You don't know 11 11 what the document is. It's a document can go back to the other exhibit. 12 12 that was produced from Charles Wang. Thank you, Cheryll. Exhibit 210. 13 13 MR. SLATER: We already You know what? I lied again. 14 14 I'm sorry. If you could go back to established it's a draft that was --15 15 of a report that was provided dated page 10 again of the other document, 16 16 June 15, 2018. We already went over I'm sorry. I'm trying to make life 17 17 that with the witness. We already difficult tonight for you. 18 18 established that's what they were BY MR. SLATER: 19 getting from Charles Wang. 19 Just above the table that we 20 MR. GALLAGHER: I don't think were discussing a moment ago, there's a line 21 21 you established where it came -- it's that says. "The worst-case estimation of 22 daily intake of NDMA from different sources a document that was produced from 23 Charles Wang, and it's dated June 15, by general population at different age are 24 listed below." And it says "[66]." 2018. I don't think you've Page 657 Page 655 1 And what I'd like to do, if we established anything else about this 2 could. Is go to the references at the end of document. 3 the report, and it's page 14, the Bates MR. SLATER: Okay. BY MR. SLATER: number is 177, to see what reference 66 is Looking now at the biography and where that table came from. that Charles Wang put in there, I just went And you see reference 66? through this for a moment, and he gives some Yes. A. of his background and he says that, around Q. It's a citation from the the middle, he was the "Vice President of "Concise International Chemical Assessment Document 38, N-nitroso dimethylamine," which Drug Safety and Regulatory Affairs at Hua Medicine, Limited, a US VC funded is NDMA, and it gives the authors' names, and biopharmaceutical company in China." it says it was from the World Health 13 13 Organization in Geneva 2002, page 13. A. I'm sorry, where that word? 14 14 Right in the middle of the Do you see that? Q. 15 A. Yes. biography. 16 16 Okay. Dr. Wang, okay. Was the MR. SLATER: Let's try this for 17 17 vice president. Let me read through. the fourth time. Can we please go to 18 18 (Witness reviewing document.) Exhibit 210 now. Exhibit 210 is the 19 19 Yeah, okay. Yeah. Deviation Investigation Report. A. 2.0 Then it says -- rephrase. 20 And let's scroll down to make

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It says that he was "Director

of Toxicology at Johnson & Johnson PRD,"

whatever that firm is, and "Senior Scientist

at Novartis Pharmaceutical Corp. in the

Q.

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sure we have exactly which it is and

And it's dated -- it says "Preparation Date: November 5, 2018," and

what the date is. Perfect.

Page 658 Page 660 1 ¹ then on the next page there's a list of MR. SLATER: Let's do that, 2 signatures, report, review and approval, because otherwise we'll break up in 3 showing this was signed and finalized, the middle. 4 correct? MR. GALLAGHER: Okay. 5 5 MR. SLATER: So let's take a A. Yes. 6 6 Q. And the deviation investigation break. 7 report, this is a document that's laying out, How long do you want? 8 among other things, the root cause and the MR. GALLAGHER: Dr. Li, how 9 significance of the contamination, correct? long would you like for a break? 10 10 MR. GALLAGHER: Objection to THE WITNESS: 15 minutes. Or 11 11 the extent it mischaracterizes the maybe we come back at, what, 10:25? 12 12 MR. GALLAGHER: Sounds great. document. 13 13 MR. SLATER: Whatever you want. It investigate the root cause 14 14 Sounds good. or the likely root cause. 15 15 BY MR. SLATER: THE VIDEOGRAPHER: The time 16 16 right now is 10:09 a.m. We're off the This is -- rephrase. 17 17 This is a document that's record. 18 18 supposed to be fully accurate, right? (Whereupon, a recess was 19 19 Based upon the knowledge at the A. taken.) 20 20 THE VIDEOGRAPHER: The time time. 21 21 This document is supposed to be right now is 10:27 a.m. We're back on O. 22 fair and balanced in terms of providing the record. 23 information, right? BY MR. SLATER: 24 24 MR. GALLAGHER: Objection. O. Looking here at the deviation Page 659 Page 661 ¹ investigation report, this is Section 3.1.2, Vague. 2 titled "NDMA Physiochemical Characteristics As I said, to the best A. knowledge available at that time. and Toxicological Evaluation of NDMA." BY MR. SLATER: Do you see that? Yes. Would you agree that this A. document should be an honest, scientifically 6 Q. The toxicological evaluation would be the part of the report where you got rigorous document in terms of its analysis? 8 MR. GALLAGHER: Objection. consulting services from Dr. Wang, right? 9 9 Vague. Yeah, it should be. 10 10 MR. SLATER: And let's turn, if Again, I said, you know, it's 11 11 to the best knowledge, you know, of the we could, to the next page. Scroll up 12 a little bit. A little more. Thank authors at the time. 13 13 MR. SLATER: Cheryll, let's go, you. 14 14 if we could, to page 10 of 236, Q. Looking now at page 11 of this 15 report, you can see that there's a citation please, the very top. 16 to something that was stated in the report to By the way, everyone, I have 17 zero idea about time, so I don't know the Concise International Chemical Assessment 18

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A.

Q.

rephrase.

Yes.

just a little bit over an hour, so

at that point.

I'll leave it to you.

where you're at. I'm about to start

in on this document, so now would

probably be a good break time if we're

MR. GALLAGHER: I think we're

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Document 38 on NDMA, which we just saw before

was cited in Dr. Wang's report, correct?

cite scientifically reliable literature in

And one thing to be clear --

To be clear, ZHP would only

Page 662

1 this report, correct? know what the next exhibit would be. 2 2 Is it 321? As I said, again, you know, based upon the best knowledge, and also, like 3 THE STENOGRAPHER: Yes, it is. 4 you said, you know, this data is likely, you MR. SLATER: Okay. Let's go, 5 know, yeah, from, yeah, Dr. Wang's report, Cheryll, to Exhibit 321, the actual 6 6 Concise International Chemical yes. 7 7 Would ZHP cite literature or Assessment Document 38 regarding NDMA 8 articles in this report that it did not that's cited here in the deviation 9 believe was scientifically reliable? investigation report. Thank you. 10 10 It shouldn't. (Whereupon, Exhibit Number 11 11 ZHP-321 was marked for MR. SLATER: Let's turn to the 12 12 next page, page 12 of this report. identification.) 13 13 We can actually see that that BY MR. SLATER: 14 table that was cited in Dr. Wang's report and Q. And you can see that that's the 15 cited to that World Health Organization study title. 16 is also actually found right here in the MR. SLATER: And if you can 17 deviation investigation report, correct? scroll down, Cheryll, just to confirm 18 18 the date of it at the bottom, please. Α. Yes. 19 19 MR. SLATER: Let's scroll down Perfect. 20 20 a little bit. O. You can see the "World Health 21 21 There's a section titled Organization, Geneva, 2002," correct? Q. 22 "Animal Toxicity Studies," and it says in A. Yes. 23 23 Let's first, just to be sure --Q. 24 rephrase. MR. SLATER: Scroll down a Page 663 Page 665 little more, actually. Perfect. MR. SLATER: Turn, if you 2 2 Under the "Animal Toxicity could, Cheryll, to page 1. It's a 3 Studies" section, it says in part in that couple pages ahead. 4 second paragraph, "Carcinogenicity studies in And you see there's a section 5 animals demonstrated that NDMA is that says -- rephrase. Stop, stop, carcinogenic. However, no evidence is 6 stop, stop. 7 available to confirm that NDMA is You see it says "Procedures," Q. 8 carcinogenic in humans. Nevertheless, NDMA correct? 9 is considered a probable human carcinogen A. Yes. 10 based on projection from the animal studies." MR. SLATER: Scroll down, 11 11 And that's the -- that's what please, Cheryll, so we capture the 12 your company stated in this deviation last two paragraphs on this section. 13 13 investigation report, correct? Perfect. 14 14 A. Yes. Q. You can see that the second 15 O. paragraph under the Procedures talks about Now, we had talked a moment ago that the table up above came from the World how the first draft was prepared. It says, 17 Health Organization study, the Concise "The first draft is based on existing International Chemical Assessment document national, regional, or international review." 19 19 regarding NDMA. And there's more information. 20 20 Do you recall that? Do you see that? 21 21 A. Yes. Yes. A. 22 22 And what we can do now is we And then the next paragraph 23 says, "The draft is then sent to an can take this document down, and we can go --24 international peer review by scientists known MR. SLATER: I guess -- I don't

Page 664

Page 666 And this states, "NDMA has been ¹ for their particular expertise and by ² scientists selected from an international classified by the International Agency for ³ roster compiled by IPCS through Research on Cancer (IARC, 1987) as a ⁴ recommendations from IPCS national Contact 'probable human carcinogen (Group 2A),' based upon sufficient evidence of a carcinogenic Points and from IPCS" participation -effect in experimental animal species and the "participating institutions." 7 And peer review is an important demonstrated similarities in its metabolism thing in scientific literature because that's by human and rodent tissues." one of the important stamps of reliability Do you see that? 10 for scientific literature, correct? A. Yes. 11 11 A. Yes. O. And in terms of the risks to 12 humans as compared to animals, you certainly MR. SLATER: Let's go now, 13 Cheryll, if we could, to page 13. 13. don't disagree that there are similarities in 14 The very top. Perfect. the metabolism of humans and rodents as 15 We can see here on page 13 that stated here, you certainly don't disagree Q. the table that we've been talking about that with that, right? 17 was copied into Dr. Wang's report and into Whatever that statement says. 18 the deviation investigation report is found Q. Let's go now to page 16. Page 16, heading 8.4, it says in this article as cited. 20 "Carcinogenicity," and again that's whether Do you see that? 21 A. Yes. something causes cancer, right? 22 22 MR. SLATER: Let's go now, Yes. 23 23 Cheryll, to page 26, if we could. And Q. And if you go a little further 24 down actually, let's go to Section 8.5, if you could go down to the last part Page 669 Page 667 perfect, Section 8.5 is "Genotoxicity and of that page, Section 12, there's a 2 related end-points." little paragraph there. On this page, 3 just go to the bottom of the page. And genotoxicity is where --4 Oh no. I'm getting a feeling well, you can explain it. Tell me, what's 5 your understanding of genotoxicity? like a frozen computer issue is 6 Any chemical substances, you happening. "Frozen." I just got a 7 text from Cheryll, "Frozen." know, where they chemically react with DNA. 8 Could we go off for a moment Q. And this states in numerous --9 just while she fixes her issue, well, rephrase. Let me just take a step 10 10 back. please? 11 11 MR. GALLAGHER: Sure. NDMA is a genotoxic substance, 12 12 THE VIDEOGRAPHER: The time correct? 13 13 right now is 10:35 a.m. We're now off MR. GALLAGHER: Objection. 14 14 Vague, and calls for expert testimony. the record. 15 15 A. It is. (Whereupon, a recess was 16 16 BY MR. SLATER: taken.) 17 17 Q. NDEA is also a genotoxic THE VIDEOGRAPHER: The time 18 right now is 10:40 a.m. We're back on substance, correct? 19 19 the record. MR. GALLAGHER: Same 20 20 BY MR. SLATER: objections. 21 21 I want to go through the A. Yes. 22 document a little bit, this article. First BY MR. SLATER: 23 we'll start in Section 12 titled "Previous Q. This section states, "In numerous studies conducted in vitro in **Evaluations By International Bodies.**"

¹ bacterial and mammalian cells, there has been

- ² overwhelming evidence that NDMA is mutagenic
- ³ and clastogenic (reviewed in IARC, 1978;
- ⁴ ATSDR, 1989). Increased frequency of gene
- ⁵ mutations, chromosomal damage, sister
- chromatid exchange, and unscheduled DNA
- synthesis have been observed in a wide
- variety of cell types, in assays conducted in
- the presence or absence of metabolic
- activation. Positive results have been
- observed in human as well as rodent cells."

Do you see that?

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- 13 Yes, that's what it said.
- Then it says, "Similarly, clear evidence of genetic effects has also been observed in in vivo studies," correct?
 - A. In vivo studies, mm-hmm.

18 MR. SLATER: Let's go now, if 19 we could, Cheryll, to page 21. This

- 20 is Section 9, "Effects on Humans."
- 21 And if you could scroll down, Cheryll, 22 to the second paragraph under there.
- 23 Q. The second paragraph starts out, "Relevant epidemiological studies

- down, it says, "In two case-control studies
- in which matching or control for confounders
- was rather more extensive than that for the
- investigations of gastric cancer mentioned
- above, three [sic] were clear
- exposure-response relationships for NDMA and

lung cancer."

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Do you see that?

- Α. Yes.
- And what they're talking about Q. here with this -- this -- rephrase.

12 And what they're talking about here is that there are epidemiologic studies that have been done showing that there is a

- clear relationship between NDMA and humans
- developing certain cancers, correct? That's what they're talking about?

MR. GALLAGHER: Objection.

19 Foundation, calls for expert 20 testimony.

21 Well, they're talking about some, like you said, epidemiology, you know, 23 studies.

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Page 673

- ¹ include case-control investigations in which
- the potential risks of cancer of the
- ³ stomach," and I'm going to skip the citation
- names for now, "upper digestive tract, and
- lung associated with the ingestion of NDMA
 - have been assessed."

So they're citing to studies that have looked at whether or not there's a

risk to humans from NDMA, correct?

A. It looks like.

MR. SLATER: Let's go now to the other -- the second column at the top, please. Let's get that top half of the page. Perfect.

Continuing this section regarding effects on humans of NDMA, it says, "In three of four case-control studies, there was a positive relationship with evidence of exposure-response for the intake of NDMA and gastric cancer."

21 You see that citations, there's 22 three articles cited right there? 23

- A. Yes.
 - O. Then if we go a little further

BY MR. SLATER:

Q. It continues, "In almost all

studies, associations between the cancers of interest and nitrate, nitrite, and NDMA were

examined; results were relatively consistent

in this regard, with there being an

association with cancer most commonly with

NDMA"; and then it says, "results for nitrite

were mixed, and there was an inverse association with nitrate."

Do you see what I just read?

- Yes. A.
- O. And -- rephrase.

When they talk about an association with cancer most commonly seen with NDMA, they're talking about cancer in human beings, correct?

MR. GALLAGHER: Objection. Calls for expert testimony, and outside the scope.

I'm not sure they are purely talking about, you know, with cancer in human, just based upon, you know, this statement.

Page 674 Page 676 BY MR. SLATER: develop cancer, correct? 2 This section is "Effects on MR. GALLAGHER: Objection. 3 Humans," and it's relating the results of Vague, calls for expert testimony. epidemiologic studies. A. I think it does ask for Those would be studies of speculation. Okay. Based upon my limited knowledge, you know, once, you know, a piece cancer in human beings, correct? 7 of DNA, for example, was alkylated, different MR. GALLAGHER: Objection. 8 Calls for speculation, and lack of species, you know, they may have different 9 foundation. defense system, okay? They can dealkylate. 10 10 Let me read through that part, So, you know, it still -- you A. 11 know, you just make -- you cannot be okay? 12 BY MR. SLATER: 100 percent sure, okay, just simply because 13 You understand the question is, of the presence of alkylated DNA, you know. 14 these are epidemiologic studies regarding Because otherwise, you know, if what you're causation of cancer in human beings, and I'm saying, you know, is true, then there will be just asking you to confirm this has to do no difference between, you know, you know, 17 with humans. the mutagenicity with carcinogenicity, okay? 18 These are the two different levels. Okay. MR. GALLAGHER: Lack of 19 19 So you were equating those two foundation, and outside the scope. 20 things, you know, you know, to be the same Well, these studies' subject, 21 21 yes, was tried to evaluate. meaning, so I don't think that that's BY MR. SLATER: accurate. 23 23 Cancer in human beings due to BY MR. SLATER: 24 NDMA, that's what this is looking at, Mutagenicity is the ability to Page 677 Page 675 actually damage the DNA, leading to cancer, correct? 2 A. Looks like. right? 3 3 MR. SLATER: Let's go now, if A. That's just a potential. 4 we could, to the next page, please, MR. GALLAGHER: Objection. 5 Calls for expert testimony. page 22 of this article. BY MR. SLATER: 6 Q. In the top left --7 Q. I'm asking you what MR. SLATER: Perfect, Cheryll. 8 "mutagenicity" means. That means that Thank you. 9 In the top left, the first full something damages DNA, leading to cancer, 10 paragraph it says, "There appears to be no correct? 11 qualitative difference between rodents and MR. GALLAGHER: Objection. 12 Calls for expert testimony. humans in the formation of DNA adducts 13 following exposure to NDMA." As I said, you know, your 14 Do you remember we went through statement is not accurate, okay. That's just a study last night that actually talked about a potential -- you know, you know, a studying the adducts that are caused -- the potential source leading to. Because 17 DNA adducts caused by exposure to NDMA? otherwise, why people or why scientists will 18 Do you recall we talked about have two different terms? 19 19 that last night? And also, you know, it's very 20 20 A. Yes. clear, you know, you know, mutagenicity, you 21 Q. And that's a very important know, can but do not necessarily are part of a mutagenic, genotoxic substance is carcinogenetic. I mean, you can -- you know, the formation of DNA adducts as part of the you can find it, like, in some documents, process whereby somebody will eventually maybe even in M7 itself or some related

Page 678 Page 680

¹ document.

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BY MR. SLATER:

- Do you know what a mutagenic, genotoxic substance is?
- I mean, do I know some chemical part of being mutagenic?
- Do you know what those terms mean?
- 9 A. As I said, yeah. You know, as I said, "mutagenic" means, you know, a chemical substance will chemically react with 12 DNA.

But whether or not, you know, that reactivity or formation of the DNA adduct either will lead to, you know, cancer, you know, that's another -- you know, another question. Okay. It may or it may not, you 18 know.

19 So you trying to equating them, you know, you know, the mutagenicity, you're trying to equating them, you know, to carcinogenicity, you know. This is -- you know, this is not correct.

I'm actually just -- okay.

it gives a citation.

Then it says, "Using an immunohistochemical technique, Parsa et al.

(1987) detected the formation of

06-methylguanine in human pancreatic explants

incubated in vitro with NDMA."

So those are some of the sources cited for the proposition at the beginning of the paragraph indicating there appears to be no qualitative difference between rodents and humans in the formation of DNA adducts following exposure to DNA --13 NDMA.

That's what it's stating, correct?

> MR. GALLAGHER: Objection to the extent it mischaracterizes the document.

19 Just by reading through, you know, you know, you know, this particular 21 sentence, okay, it seems like -- because when you're talking about, you know, poisoning or NDMA poisoning, you know, based upon my limited knowledge, okay, it seems to be

Page 679

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¹ I'll withdraw that.

When I said "I'll withdraw that," it was the first two words I said almost starting this question. Okay?

Continuing to read this, it says, "In a case of suspected NDMA poisoning in a human male, methylation of liver DNA was evident at both the N7 and O6 positions of" --

I'm sorry. I'm sorry. Hold on. I don't see -- I'm not seeing, you know, the paragraph that you're reading.

Sure.

I'm in the left column, the first full paragraph. We just went through the first sentence. Now I'm at the second sentence.

- A. The second -- oh, okay, yeah.
- Q. The second sentence -rephrase.

21 This paragraph continues, "In a case of suspected NDMA poisoning in a human male, methylation of liver DNA was evident at both the N7 and O6 positions of guanine," and

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related to acute, you know, poisoning, which means, you know, will be extremely high, you

know, levels of, you know, NDMA, okay.

So the acute poisoning, it would be different, you know, in terms of the mechanism, you know, for the -- you know, for the cause or potential, you know, you know, you know, you know, cause to cancer. You know, I think they are two different 10 mechanisms, okay.

So, again, you know, as I said, you know, you know, the DNA adducts, you know, you know, you know, alone, you know, will not necessarily, you know, leading to, you know, cancer.

But, again, you know, I think that this will need a -- you know, a professional, you know, toxicologist, you know, to give, you know, more precise and accurate, you know, evaluation or statement. BY MR. SLATER:

To be clear as we continue to read through this article, this was an article that was cited in ZHP's deviation

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Page 682

¹ investigation report dated November 5, 2018, correct?

3 We cited particularly that

table. Very specific.

Q. You cited this paper, and you cited it for that table that we looked at,

right? The table about various substances

and what may -- what may be the NDMA levels,

remember?

10 That was why it was cited,

11 right?

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12 Yeah, yeah. The very reason we cited it, because of the origin of this 14 table.

15 Q. What you didn't do is cite any of these other things I've been reading so far about, for example, the epidemiologic studies relating to humans developing cancer as a result of exposure to NDMA.

20 Those -- that part of the article wasn't cited in your deviation investigation report on the toxicological effects of having NDMA in your valsartan, 24 right?

day before, you know, we have more recent, you know, you know, epidemiological, you

know, studies.

4 And in at least, you know, you know, some of those studies, you know, the conclusions, you know, are not consistent with the conclusion showed up, you know, in these early '90, you know, papers or studies.

> MR. SLATER: Cheryll, let's scroll down just so I can get the bottom right-hand half of the page, too. No, too far. Don't try to speed us up here. A little more. Perfect. Thank you.

15 BY MR. SLATER:

> Q. Looking now at Section 11 that's titled "Effects Evaluation," and 11.1, "Evaluation of health effects," and 11.1.1 says "Hazard identification."

> > Do you see that?

A. Yes, I do.

In the hazard identification section, that first paragraph, it says, "Although NDMA is acutely toxic and induces

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MR. GALLAGHER: Objection.

2 Foundation, and to the extent it 3 mischaracterizes the document or --

A. Look. In that deviation report, okay, we clearly indicated, okay,

NDMA, you know, you know, I think is

carcinogenic to animals, okay. It's a

probable, you know, carcinogenic to human. 9 Okay.

So everything, you know, that you presented here, right, do not change the fact, okay. Even as of today NDMA is still being characterized as a Class 2A compound, which -- you know, which means, you know, as ¹⁵ I said, it's carcinogenic to animal, and it's a probable, you know, carcinogenic to human.

17 So -- and another thing, you know, I also wanted to, you know, point out, you know, in terms of, you know, of these like -- you know, like you mentioned, you know, epidemiology, you know, studies, right, 22 these were all performed in early '90s, okay?

And as I also mentioned, you know, yesterday, also maybe, you know, the Page 685

hepatic damage in several species at dose levels of approximately 1 mg/kg body weight

per day in short-term experiments, the main

concern is its carcinogenicity. NDMA has

been consistently shown to be a potent carcinogen in all experimental species

studied."

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Do you see that?

Yeah, experimental species, yeah, means animals, yeah.

You've mentioned animal studies many times, and I think you made that point multiple times. So why haven't there been human studies done where humans have been given NDMA to see what happens to humans?

A. That would be unethical.

Q. It would be unethical, right?

That's what you said?

A. Yes.

Q. Because ---

Knowingly, yes, if you A.

22 knowingly do that, yes.

It would be unethical because you would know that you're likely -- well,

Page 686 ¹ rephrase. development is characteristic of that for a 2 It would be unethical because you would be increasing the risk that these people would get cancer from having the NDMA put into their body, right? 6 MR. GALLAGHER: Objection. 7 Lacks foundation, and calls for expert testimony. 9 As I think I already answered that question. It's just considering the potential risk. If you knowingly to do that experiment, it will be unethical. BY MR. SLATER: 14 examined." Q. It would be unethical to 15 15 knowingly give humans NDMA, correct? 16 MR. GALLAGHER: Objection. 16 A. Yes. 17 17 Calls for expert testimony. Q. 18 I think I already made that A. 19 clear. 20 20 BY MR. SLATER: correct? 21 21 And it would certainly be A. unethical to give humans NDMA in the levels that were found in the valsartan pills deliberately and knowingly, correct?

mode of action of carcinogenesis involving direct interaction with genetic material. In available studies, NDMA has induced tumors in all species examined (mice, rats, hamsters), at relatively low doses in some cases, irrespective of the route of exposure," whether oral or inhalation. "Tumors were induced in a wide range of tissues, including the liver, Leydig cells, lungs, kidney, and nasal cavity, in the absence of significant non-neoplastic effects, in the limited number of studies in which these were well Do you see what I just read?

That language was not placed into the deviation investigation report that we were discussing a few moments ago,

As I indicate to you, you know, by reading through, you know, this whole sentence or whatever, it's still talking about, you know, its being a carcinogen to

Page 687

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Page 688

MR. GALLAGHER: Objection. Calls for expert testimony, and outside the scope.

It's the same principle.

BY MR. SLATER:

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Q. Looking now, if we can scroll down to 11.1.1.1, "Carcinogenicity" is the next section.

Do you see that?

Mm-hmm.

MR. SLATER: Let's scroll now to the next page, please, the left-hand column, the first full paragraph. Let's get that up. That's just the carryover paragraph. Please go down a little further. That's perfect. Okay.

So -- rephrase.

In the left-hand column, the first full paragraph, I want to start in the second sentence. It says, "The weight of evidence of the carcinogenicity of NDMA in mammalian species is consistent and convincing. Moreover, the pattern of tumor

animals, okay, so we clearly made that statement in the deviation report. 3

My question is this. The language I just read is not set forth in the deviation investigation report, correct?

The deviation report is not intended, you know, to go into such a, you know, extensive, you know, you know, discussion, okay. So it's basically state, you know, the fact, you know, that fact, you know, is consistent, you know, with everything, you know, this report or this particular paragraph, you know, states.

Let's continue.

MR. SLATER: Can you scroll down a little more, Cheryll, just to capture the bottom of the paragraph?

Q. The -- rephrase.

This first full paragraph on page 23 of this study continues, "Where it was reported, time to first tumor was relatively short. The incidence of specific tumors has been increased following administration of even a single dose or

Page 690

¹ repeated doses for short periods (i.e. 2-3

weeks); tumors have also been observed in the offspring of exposed pregnant rats and mice."

Do you see that?

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I'm sorry, which -- which paragraph?

Q. It's the same paragraph we were just reading in --

A. Oh, okay, okay, sure. Uh-huh, uh-huh.

What I just read, along with the other things that I read above that, goes to the concept of this being a potent mutagenic carcinogen, correct?

MR. GALLAGHER: Objection. Vague, calls for expert testimony.

A. Again, you know, everything is talk about here is still, you know, related to animals.

> MR. SLATER: Cheryll, could you scroll down so we capture the last two paragraphs in that column, please? Perfect.

Q. This article continues on ¹ Putative pathways for the metabolism of NDMA

are similar in rodents and humans, and indeed

the formation of O6-methylguanine has been

detected in human tissues exposed to NDMA."

You see what I just read, I assume, correct?

> Yes. A.

So in this article which your Q. company cited in its deviation investigation report, they're essentially building the case

for the similarities between humans and animals that are significant in determining

whether NDMA causes cancer in humans; that's

basically what they're discussing and

15 building to here, correct?

> A. As I said, you know --MR. GALLAGHER: Objection, vague. Objection. Vague, calls for expert testimony, and to the extent it mischaracterizes the document.

21 Again, you know, it -- as I said, everything, you know, presented here,

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you know, it -- it's only, you know,

indicate, you know, it's a -- it's a

Page 691

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carcinogenic to animals and it's a probable

carcinogenic to humans.

BY MR. SLATER:

Q. Let's go up -- well, let me ask you this question before we scroll any

further. In terms of what's likely, at

the levels that we've gone through in this deposition, there are some people who took the valsartan sold by ZHP that was

contaminated with NDMA who will or already

have developed cancer at least in part 13

because of their exposure to that NDMA, 14

correct?

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MR. GALLAGHER: Objection. Vague, lacks foundation, calls for speculation, and calls for expert testimony.

This is way beyond, okay, my scope, okay. It need to be examined, or it need to be evaluated by medical doctors or, as I said, or as well as toxicologists.

23 BY MR. SLATER:

Knowing the science available

page 23, the last two paragraph -- rephrase.

Continue on page 23 of the article, the second to last paragraph in the

⁴ left column says, "NDMA has been consistently

mutagenic and clastogenic in human and rodent

⁶ cells exposed to in vitro. Clear evidence of genetic effects has also been observed in a

number of tissues from animals exposed to

this substance. Notably, genotoxic effects

have been observed in tissues (i.e., liver,

kidney, lung) where tumors commonly arise

following experimental exposure to NDMA and 13 in germ cells."

Do you see that?

Yes. A.

This continues now in the last paragraph in the left column, "DNA adducts (in particular, O6-methylguanine) formed by the methyldiazonium ion generated during metabolism likely play a critical role in

²¹ NDMA carcinogenicity. Observed variations in

carcinogenicity among species and strains correlate well with variations in activity of

O6-methylguanine DNA-methyltransferase.

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¹ to ZHP, it is certainly more likely than not

² that at the levels of NDMA seen in the

³ valsartan sold by ZHP, there are some people

⁴ who took that who will likely or already have

⁵ likely developed cancer at least in part due

to that exposure to NDMA.

7 We don't have to argue about how many people, we don't have to argue about which cancers. But you can agree that that's happened to some people, or will, correct?

MR. GALLAGHER: Objection.

Vague, lacks foundation, calls for speculation, calls for expert

testimony, outside the scope, and

15 argumentative.

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16 That's your -- yeah, basically, 17 again, that's your speculation, okay. As far as I know, this is not a fact, okay, as, you

know, until -- you know, up to this point.

Okay. That's your speculation.

21 BY MR. SLATER:

22 Q. Well, it's certainly likely that that will happen or has happened to some people, correct?

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MR. GALLAGHER: Objection.

Vague, lacks foundation, calls for speculation, calls for expert

4 testimony, outside the scope, and 5

argumentative.

You know, as I said, I have responded multiple times. That's your speculation.

BY MR. SLATER:

You would certainly agree with me that the people who took the valsartan manufactured by ZHP and contaminated with NDMA have an increased risk as a result of that exposure to develop cancer.

> You'll agree with that, right? MR. GALLAGHER: Objection.

Vague, lacks foundation, calls for speculation, calls for expert testimony, outside the scope, and

argumentative.

21 You know, you want me to repeat still the same answer. You know, you are making a speculation. 24

MR. SLATER: Let's look at the

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article, the top right-hand column of this page, page 23.

Q. Looking again at this article cited by ZHP and relied on by ZHP in its deviation investigation report, the top right column on page 23 -- do you see where I am?

The top right, the first paragraph?

Q. Yes.

A. Okay, yeah, I see that.

It says, "Therefore, owing to the considerable evidence of carcinogenicity of NDMA in laboratory species, evidence of direct interaction with DNA consistent with tumour formation, and the apparent lack of qualitative species-specific differences in the metabolism of this substance, NDMA is highly likely to be carcinogenic to humans."

That's what this article states, correct?

Yeah. Based upon, you know, the last sentence, you know, you know, another way to say is NDMA, you know, is a probable, you know, carcinogen to human.

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It's still the same thing.

Yeah. Probable, yeah. Q.

Highly likely, you know, is A. probable.

So that's why, you know, as I said, IARC, you know, even as of today, you know, after what, like more than 20 years, you know, you know, after the publishing of this article, you know, it's still the same characterization as 2A, you know, Class 2A.

Q. "Highly likely to be carcinogenic to humans" means that it -rephrase.

"Highly likely to be carcinogenic to humans" means exposure to NDMA will cause cancer in humans, correct?

MR. GALLAGHER: Objection. Vague, calls for speculation, and lack of foundation, and outside the scope.

See, again, you know, you just try to twist, you know, you know, the fact. Okay. You try to twist "highly likely" or, you know, you know, "probable." You know, you try to equating them to certainty, okay.

Page 698 Page 700 ¹ That's just not the case. that we've gone through in this deposition, BY MR. SLATER: it would be unacceptable and, using your In medicine and in science, word, unethical to sell valsartan with those there's a reasonable degree of probability. levels of NDMA contamination, correct? You know that concept, right? MR. GALLAGHER: Objection. 6 6 MR. GALLAGHER: Objection. Vague, mischaracterizes testimony, 7 7 Vague, calls for expert testimony, and calls for speculation, calls for 8 8 outside the scope. expert testimony, and outside the 9 9 Dr. Li, to the extent you know. scope. 10 10 To the extent -- yeah, there's You know, as I said, you know, 11 a possibility, yeah. if you knowingly do that, okay. 12 BY MR. SLATER: 12 BY MR. SLATER: 13 13 Q. I asked you a different Q. I'm sorry, what did you say? 14 14 question. A. As I said before, you know, if 15 15 You understand the concept of you knowingly doing that. 16 reasonable degree of scientific probability MR. SLATER: Thank you. 17 17 or scientific -- rephrase. Patrick, I don't know if you 18 18 Do you understand the concept have any questions. I can reserve the 19 of reasonable degree of scientific certainty? rest of my time, or we can conclude 20 20 MR. GALLAGHER: Objection. the deposition now. 21 21 MR. GALLAGHER: I'm happy to Vague. 22 22 Yeah. So I don't know what conclude the deposition. 23 you're specifically try to, you know, to say MR. SLATER: Thank you very 24 or to, you know, referring to. much. I'm done. Page 701 Page 699 BY MR. SLATER: MR. GALLAGHER: Thank you. 2 2 Q. Well, I'll ask the question THE VIDEOGRAPHER: The time 3 3 differently. right now is 11:17 a.m. We're now off 4 4 NDMA is highly likely to be the record. 5 carcinogenic to humans. That's why your (Whereupon, the deposition was company had to stop selling valsartan 6 concluded.) 7 contaminated with NDMA, correct? 8 8 MR. GALLAGHER: Objection. 9 9 Vague, lacks foundation, and outside 10 10 the scope. 11 11 A. As I responded earlier, as I said, you know, to stop distribution, you 12 know, as a responsible company it's -- you 13 know, once, you know, the company, you know, 14 became to know, and also, you know, after we 15 16 developed the method, right, and we know the 17 17 range, then we immediately, you know, you 18 know, contact FDA. You know, so that 19 decision, you know, was based upon, you know, this potential risk. Okay? 20 21 BY MR. SLATER: 21 22 22 Q. Your company stopped selling --

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At the levels of contamination

well, let me ask it this way.

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1 2	CERTIFICATE	1	Page 704
3	I, MAUREEN O'CONNOR POLLARD, Registered Diplomate Reporter, Realtime Systems Administrator, and Certified Shorthand Reporter, do hereby certify that prior to the commencement of the examination, MIN LI, Ph.D., was remotely duly identified and sworn by me to testify to the truth, the whole truth, and nothing but the truth. I DO FURTHER CERTIFY that the foregoing is a verbatim transcript		ERRATA
4	Reporter, Realtime Systems	2	
5	Administrator, and Certified Shorthand Reporter, do hereby certify that prior		PAGE LINE CHANGE
	to the commencement of the examination, MIN LI, Ph.D., was remotely	5	REASON:
6	duly identified and sworn by me to testify to the truth, the whole truth,	6	REASON.
7 8	and nothing but the truth. I DO FURTHER CERTIFY that	7	REASON:
9	the foregoing is a verbatim transcript	8	
10	of the testimony as taken stenographically by and before me at the time, place, and on the date hereinbefore set forth, to the best of	9	REASON:
11	hereinbefore set forth, to the best of my ability.	10	DE LOON
12	I DO FURTHER CERTIFY that	11	REASON:
13	I am neither a relative nor employee nor attorney nor counsel of any of the parties to this action, and that I am	13	
14	neither a relative nor employee of	14	
15	neither a relative nor employee of such attorney or counsel, and that I am not financially interested in the	15	REASON:
16	action.	16	
17		17	REASON:
18	MAUREEN O'CONNOR POLLARD	19	REASON:
19	NCRA Registered Diplomate Reporter	20	READON.
20	MAUREEN O'CONNOR POLLARD NCRA Registered Diplomate Reporter Realtime Systems Administrator Certified Shorthand Reporter Notary Public	21	REASON:
21		22	
22	Dated: April 23, 2021	23	
24		24	
	Page 703		Page 705
1	INSTRUCTIONS TO WITNESS	2	ACKNOWLEDGMENT OF DEPONENT
2		3 4	
3	Please read your deposition over	4	I,, do Hereby certify that I have read the foregoing
5	carefully and make any necessary corrections. You should state the reason in the	5	pages, and that the same is a correct
6	appropriate space on the errata sheet for any	6	transcription of the answers given by me to the questions therein propounded, except for
7	corrections that are made.	7	the corrections or changes in form or substance, if any, noted in the attached
8	After doing so, please sign the		Errata Sheet.
9	errata sheet and date it. It will be	8	
10	attached to your deposition.		
11	It is imperative that you return	10	Min Li, Ph.D. Date
13	the original errata sheet to the deposing	12	
14	attorney within thirty (30) days of receipt of the deposition transcript by you. If you	13 14	
15	fail to do so, the deposition transcript may	15 16	
16	be deemed to be accurate and may be used in	170	Subscribed and sworn
17	court.	17	To before me this
18		18	day of, 20
19		19	My commission expires:
20		20	
22		21	Notary Public
23		22	
24		23	
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